

Fig. 1

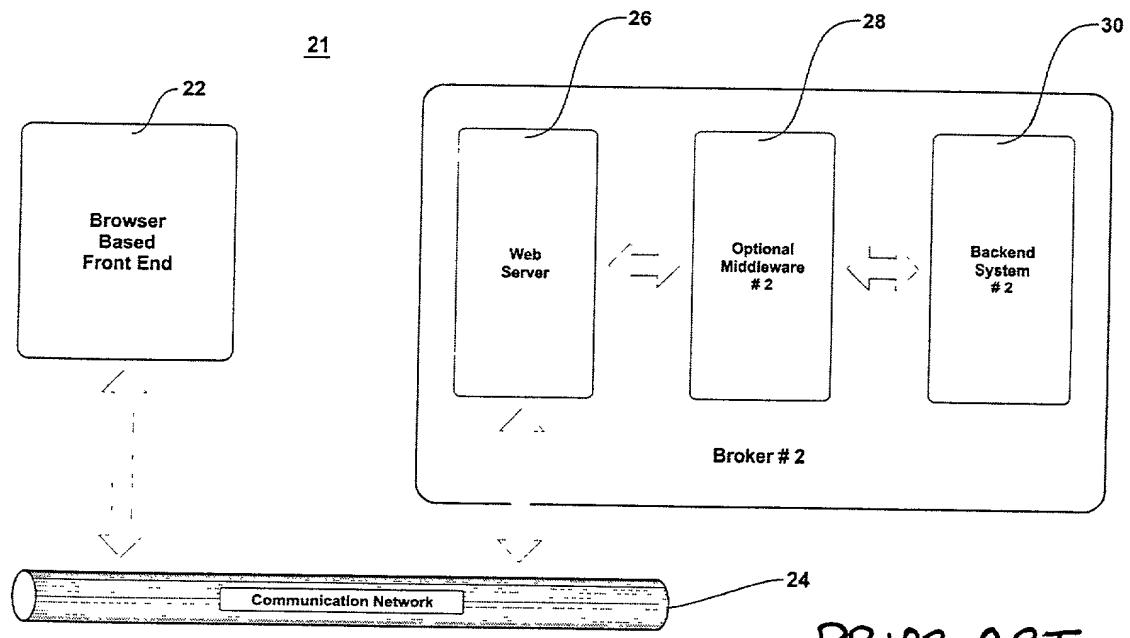


Fig. 2

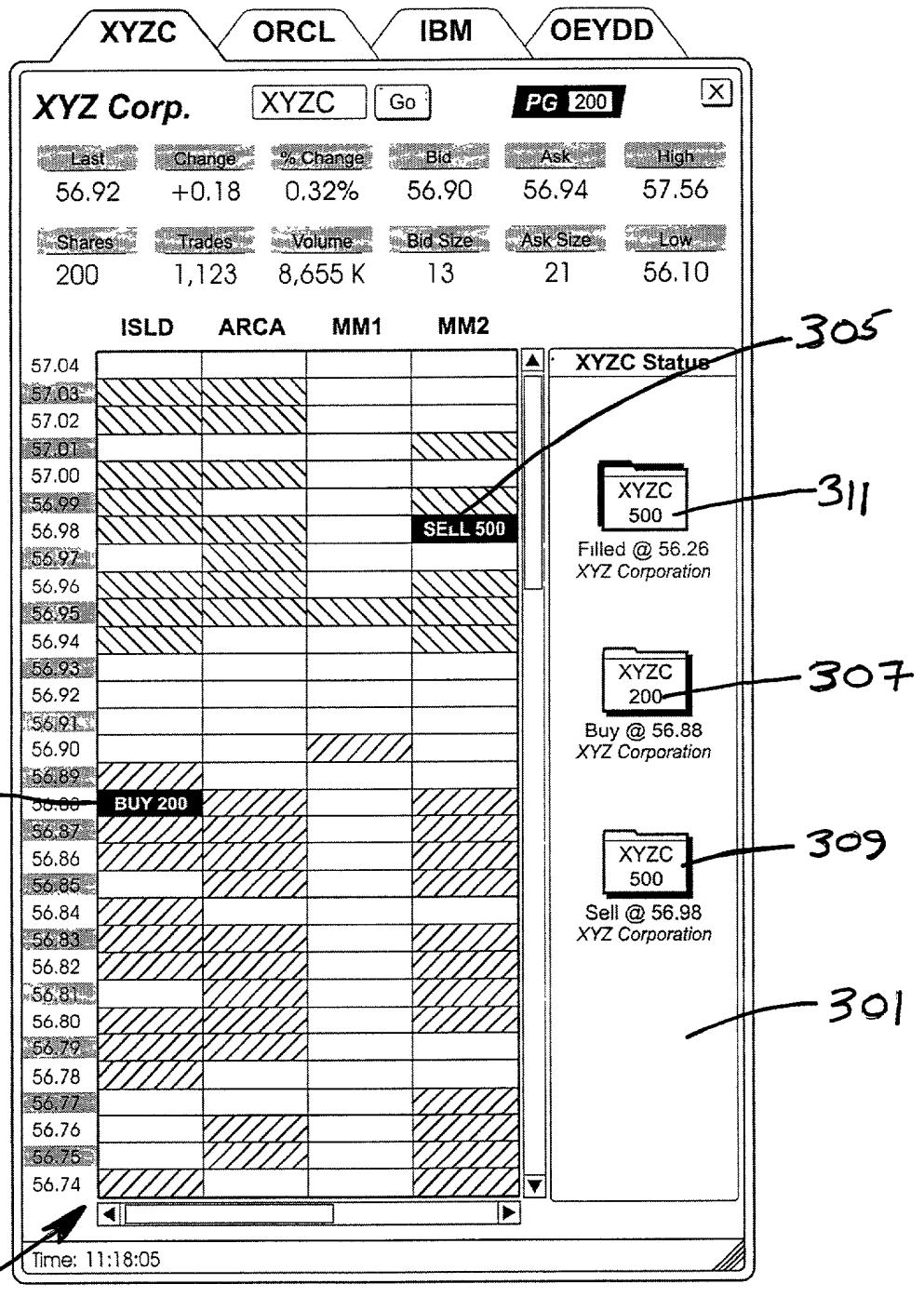


Fig. 3

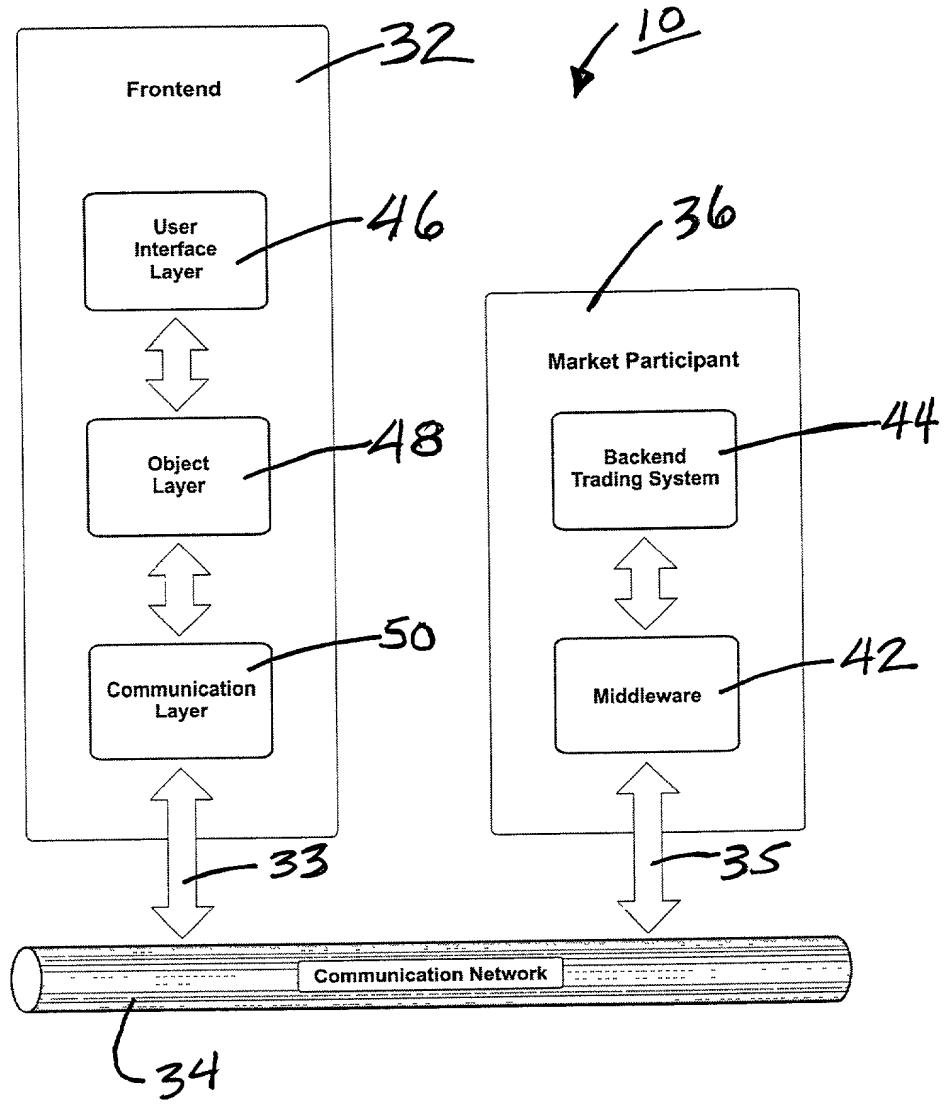


Fig. 4

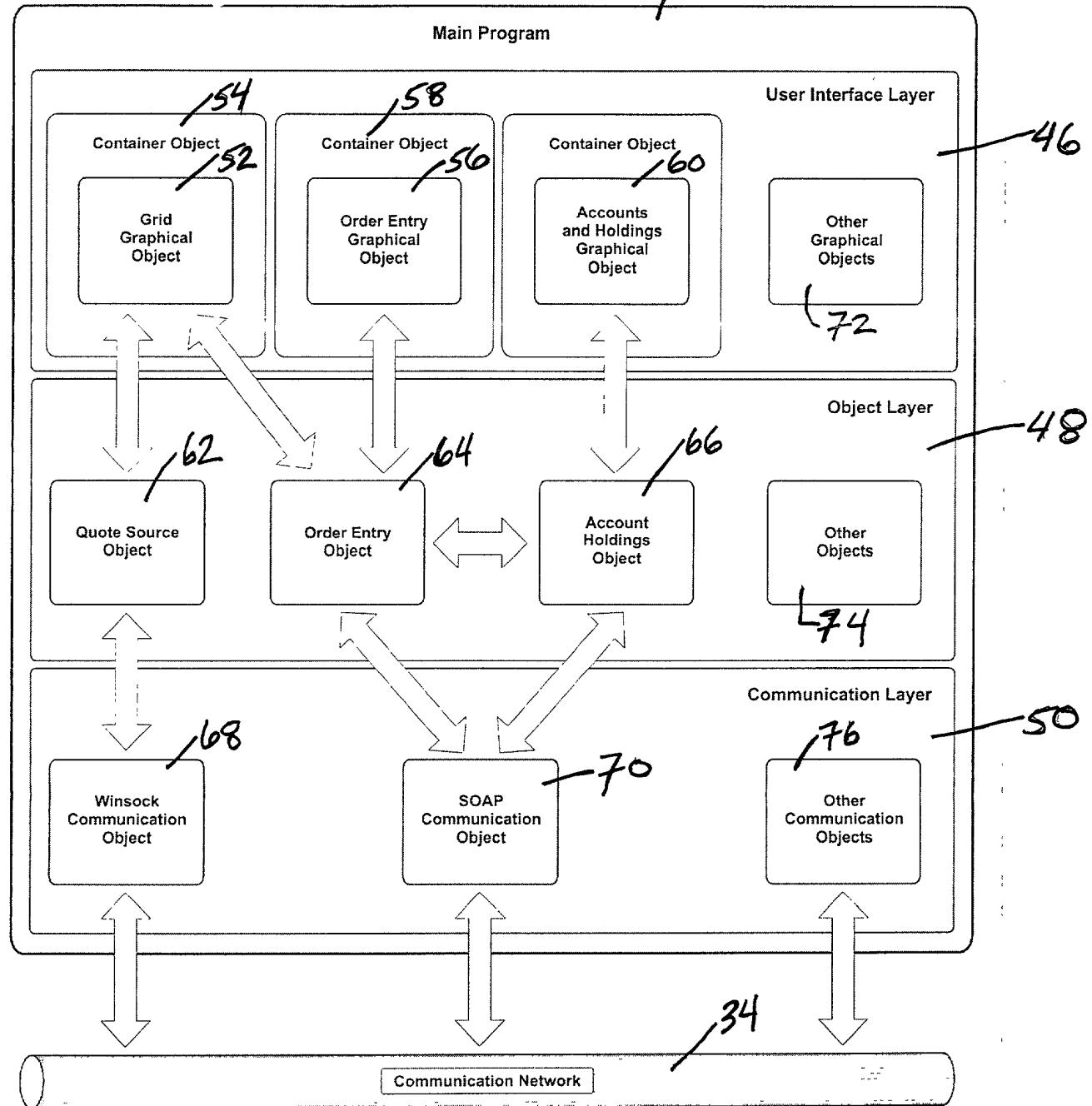


Fig. 5

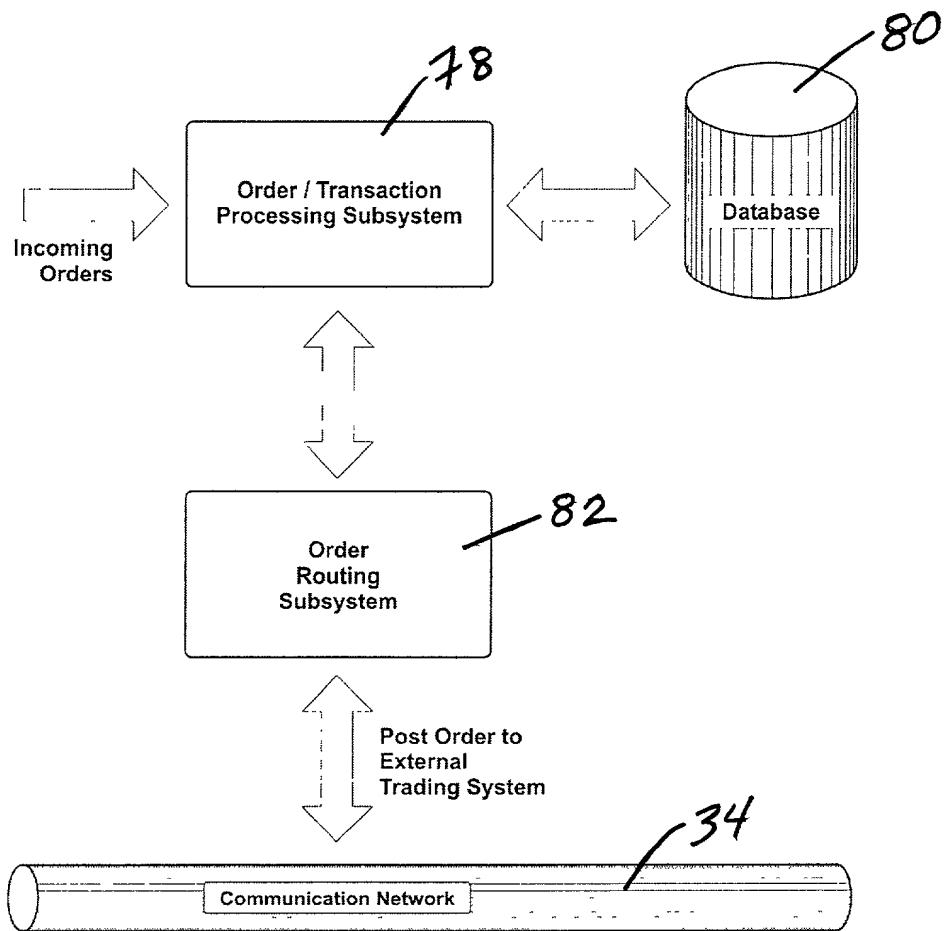


Fig. 6

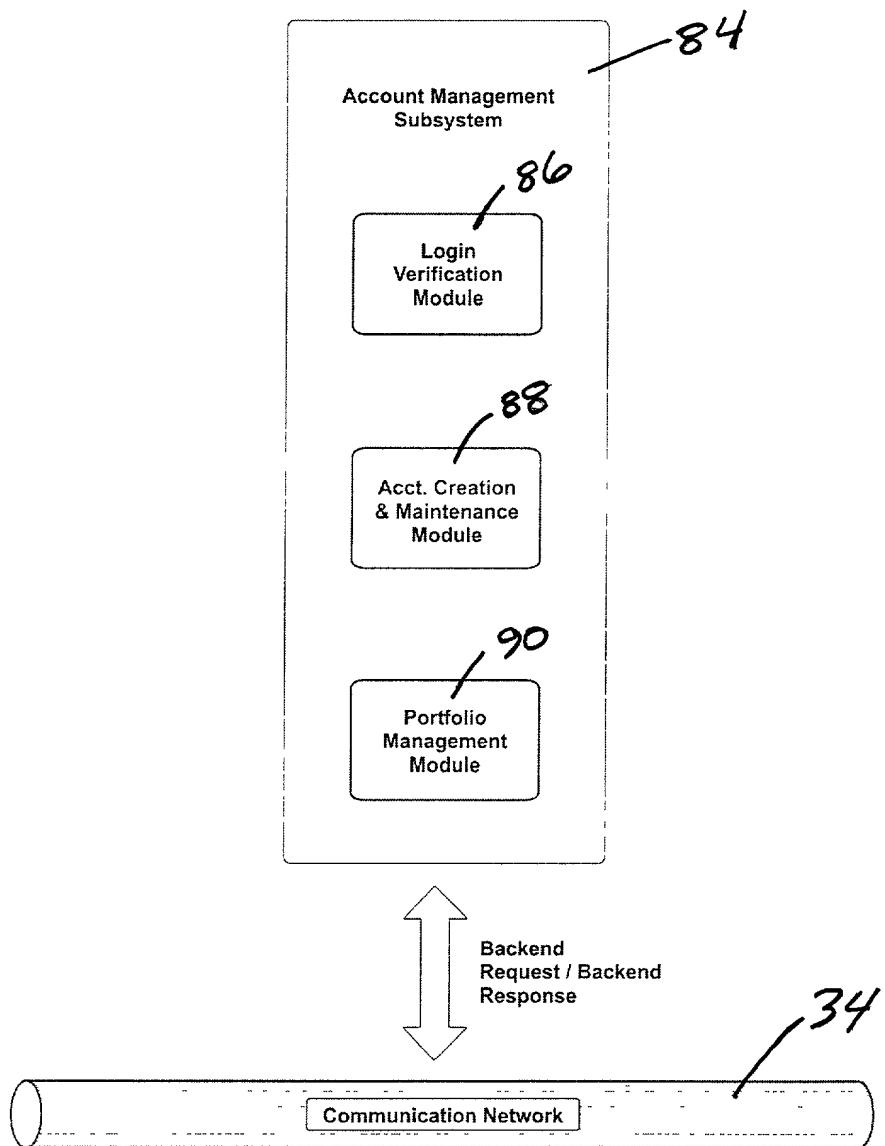
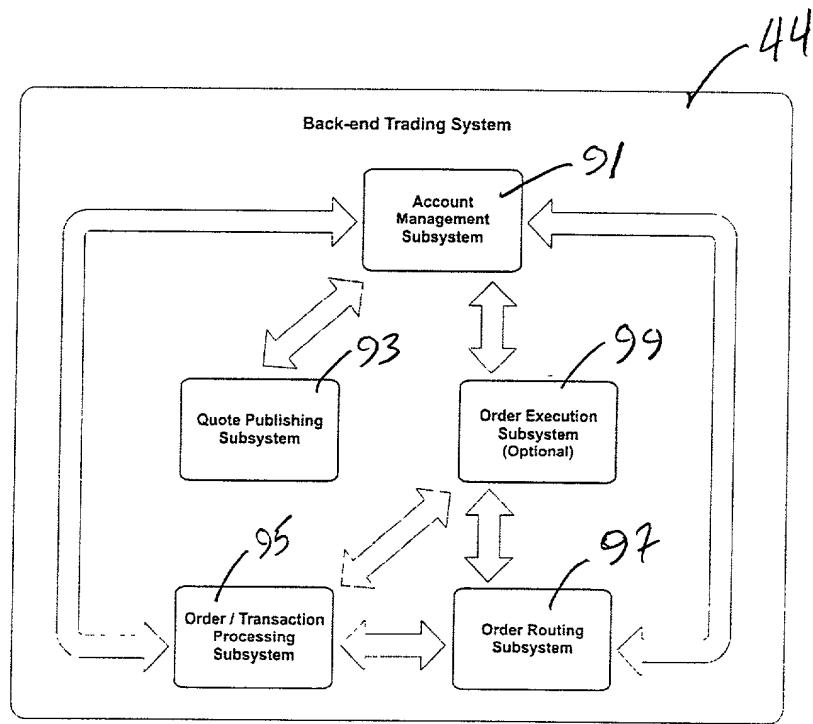
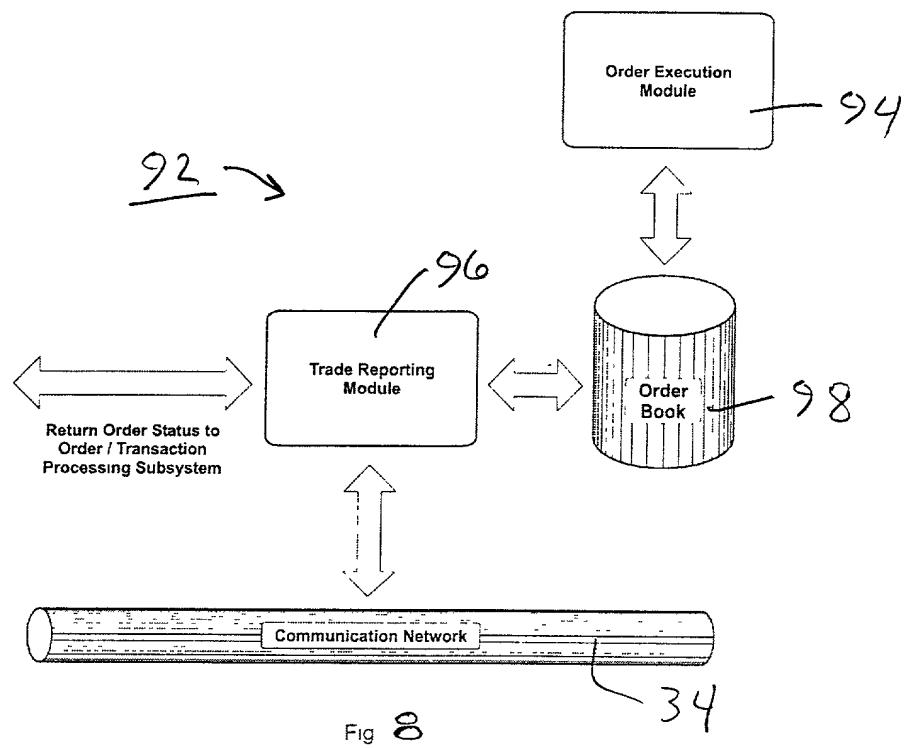
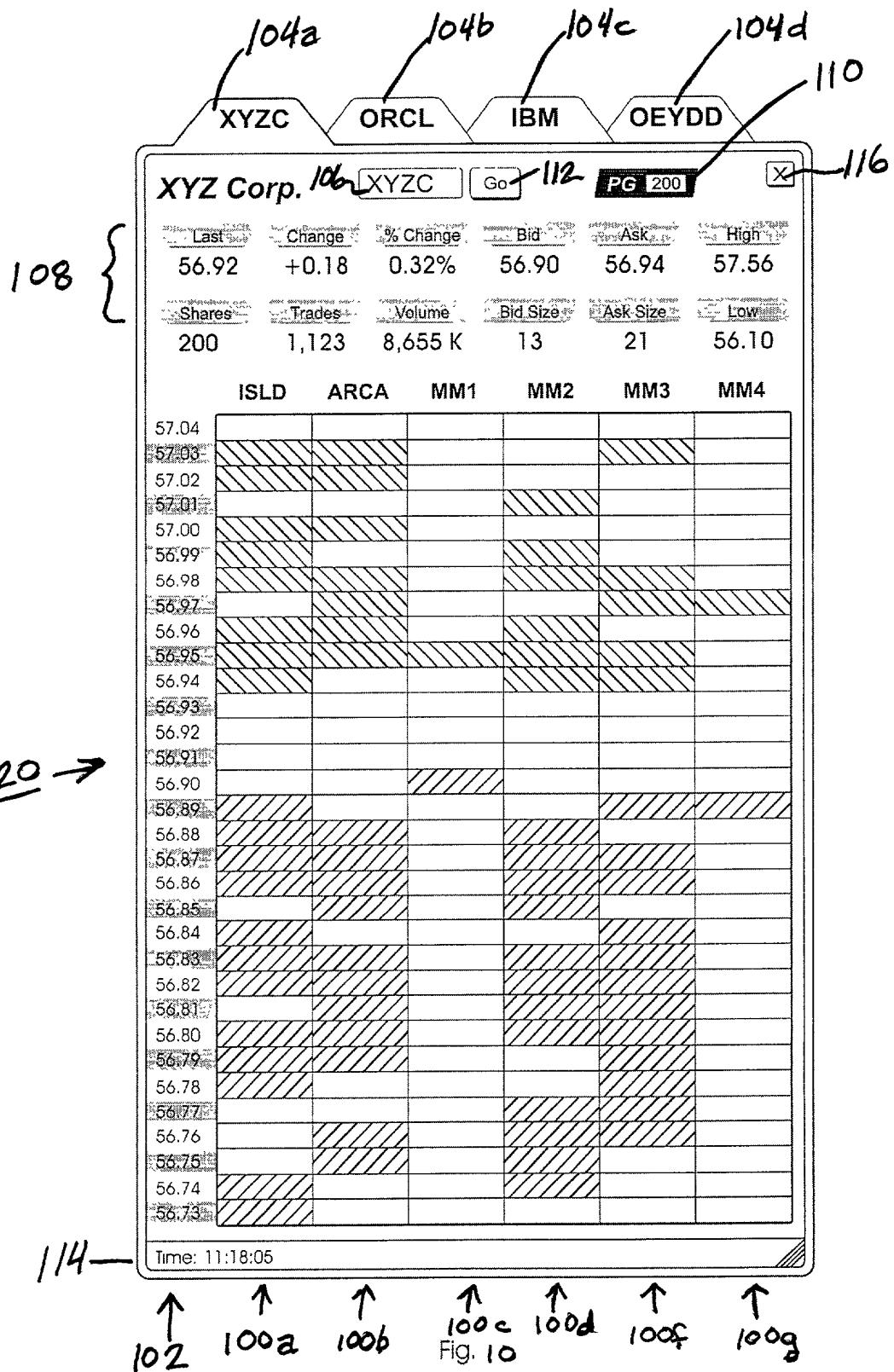
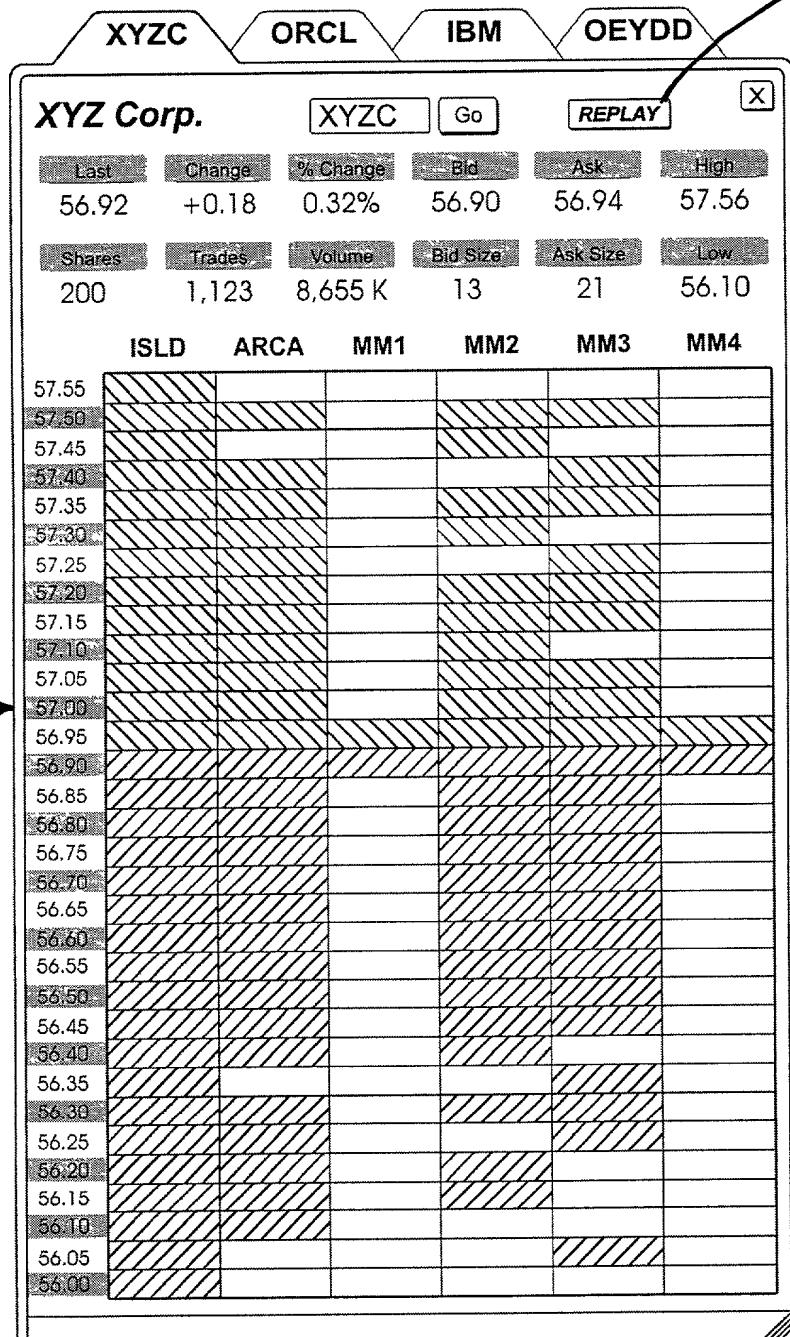


Fig. 7







102 ↑ 100a ↑ 100b ↑ Fig. 11 100f ↑

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Indexes **Quotes** **Chart** **Profile**

XYZ Corp. as of 12/2/2010 2:10:29 PT

Markets	Last	Change	%Chg
Dow	10,379.85	N/A	0.00%
NASDAQ	2,163.41	+18.73	+0.85%
S&P 500	1,242.98	+10.71	+0.85%
30 Yr	57.77	1.07	+20%
Russell	466.71	+5.69	+1.20%

Symbol **[XYZC]**

XYZ Corp. 56.92 10.18 57.56 56.10

Markets **Stops** **Limits**

Shares 100. **Buy** **Short**

Value: 5,690.00 **Sell**

Cash **Repay** **Options**

128

XYZ Corp. as of 12/2/2010 2:10:29 PT

Symbol	XYZC	56.92
Change	+10.18	0.32%
Open	56.90	56.94
Close	57.56	56.94
High	57.56	56.94
Low	56.90	56.94
Volume	1,123	8,655 K
Market	13	21
ISID	1	1
ARCA	1	1
MMI1	1	1
MM2	1	1
MM3	1	1
MM4	1	1

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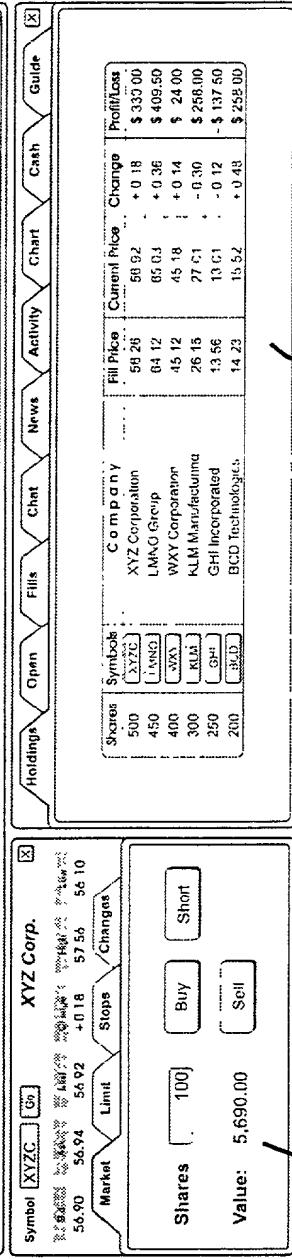
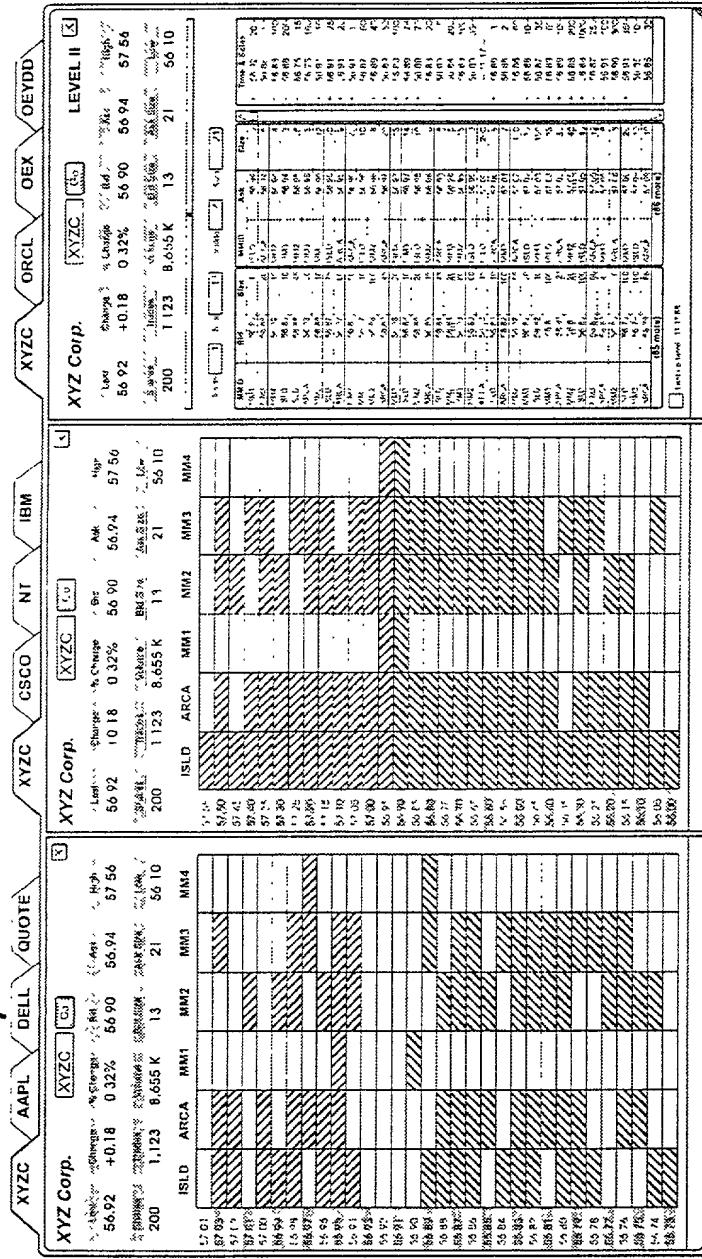
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Symbol	XYZC	56.92
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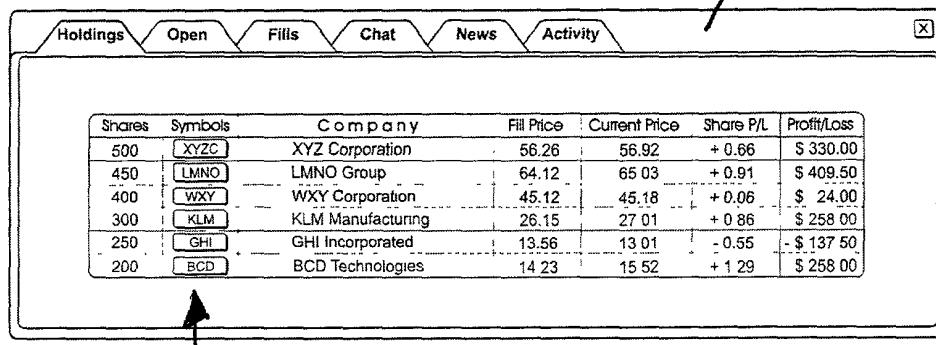
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120

122

124

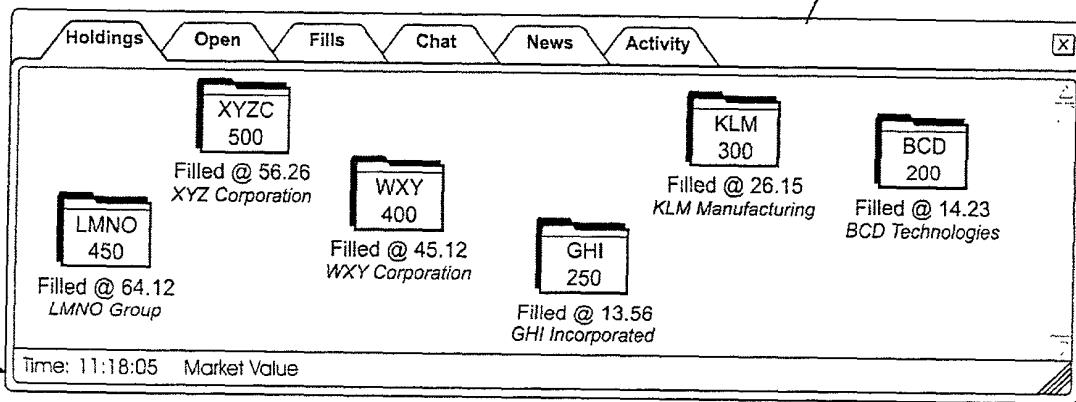
132



136

Fig. 14

- 132



138

132

Fig. 15

127

128

146

140

144

142

148

128

140

144

150

142

Symbol XYZC Go XYZ Corp.

Bid 56.90 Ask 56.94 Last 56.92 Change +0.18 High 57.56 Low 56.10

Market Limit Stops Changes

Market ISLD ▾

Shares 100 Buy Short

Value: \$ 5,690 Sell

Symbol XYZC Go XYZ Corp.

Bid 56.90 Ask 56.94 Last 56.92 Change -0.18 High 57.56 Low 56.10

Market Limit Stops Changes

Market ISLD ▾

Shares 100 Buy Short

Price 56.90 Sell Terms

Value: \$ 5,690

Fig. 17

03323341-8622

Fig. 16

152

128

154

156

158

160

162

128

166

168

170

172

174

Symbol XYZC Go XYZ Corp.

Bid 56.90 Ask 56.94 Last 56.92 Change +0.18 High 57.56 Low 56.10

Market Limit Stops Changes

Order 100 Stop Buy Stop Buy Limit

Stop Price 57.25 Stop Loss Stop Loss Limit

Limit Price 57.30

Symbol XYZC Go XYZ Corp.

Bid 56.90 Ask 56.94 Last 56.92 Change -0.18 High 57.56 Low 56.10

Market Limit Stops Changes

Market ISLD ▾

Order Ref 12345

New Shares 100

New Price 56.25

Submit Terms

Fig. 18

Fig. 19.1

176

132

178

Change	Type	Symbol	Quantity	Price	Good Till	Time Entered	Order Ref
CHG	BUY	XYZC	200	56.90	02/12/2001	11:15:23	D43612
CHG	SHORT	LMNO	100	65.02	02/12/2001	10:29:56	D42997
CHG	BUY	KLM	100	26.98	02/12/2001	02/12/2001	D42967
CHG	BUY	XYZC	100	56.98	02/12/2001	10:05:48	D42876
CHG	SELL	BCD	100	15.48	02/16/2001	09:56:21	D42780
CHG	BUY	WXY	100	45.23	02/12/2001	09:45:01	D42654

Fig. 20

DECODED BY DECODED

176

132

XYZC 200	Buy @ 56.90 XYZ Corporation	KLM 100	Buy @ 26.98 KLM Manufacturing	BCD 100	Sell @ 15.48 BCD Technologies	WXY 100	Buy @ 45.23 WXY Corporation
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Time: 11:18:05

Fig. 21

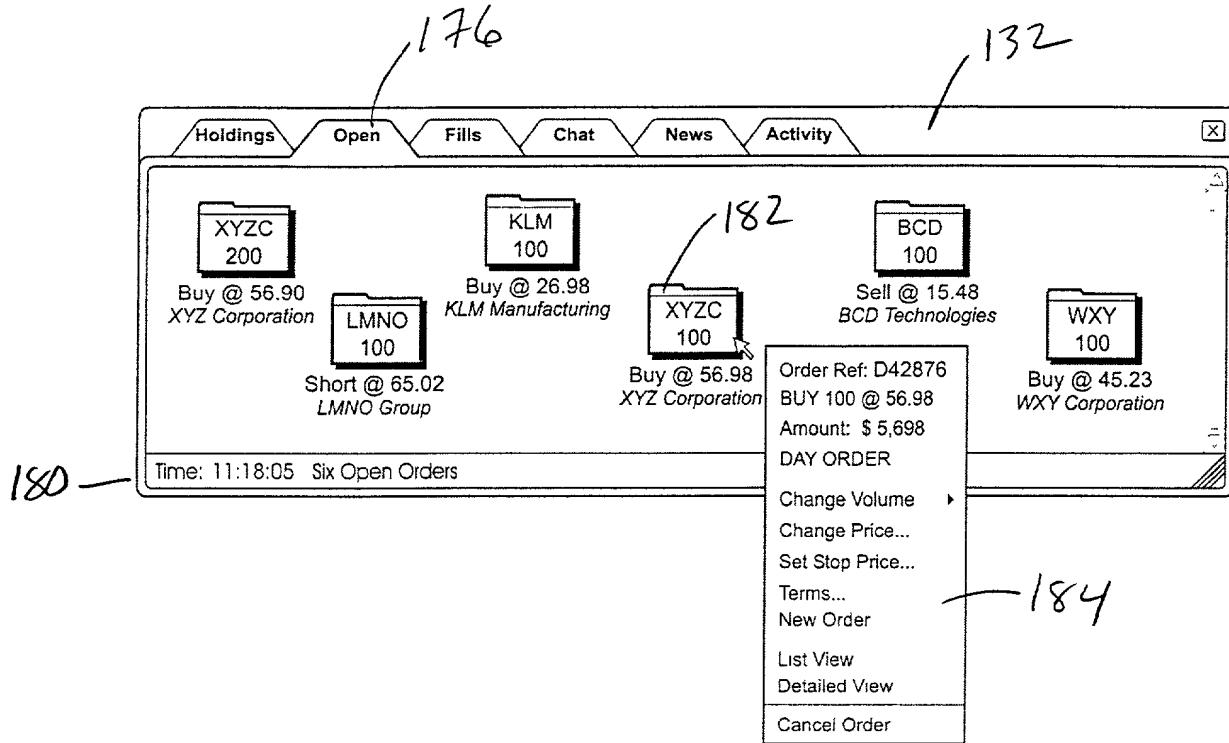


Fig. 22

Handwritten annotations:

- 186: Points to the 'Filled Order' column header.
- 132: Points to the 'Time' column header.

Filled Orders Table:

Filled Order	Action	Quantity	Symbol	Filled Price	Time
D42601	BOUGHT	500	XYZC	56.91	11:17:12
D42175	SOLD	450	LMNO	65.03	11:12:01
D41259	SOLD	400	WXY	45.18	10:56:45
D41103	SHORTED	300	KLM	27.01	10:43:21
D40263	BOUGHT	250	GHI	13.01	10:01:15
D40100	SOLD	200	BCD	15.52	09:32:31

Fig. 23

188

130

90

192

Option Symbol OEHDD

Specification OPENING BUY

Order Type LIMIT

Contracts 10

Price 1.70

OEX April 620 Calls
S & P 100 Index

Submit

256

260

258

Fig. 39

Symbol XYZC

Display Options

Center Activity

Show Bid/Ask

Show Trades

From 02/12/2001 11:00:00 AM

To 02/12/2001 12:00:00 PM

Complete Animation In

Replay

Cancel

Fig. 24

134

264

262

Fig. 40

Position Guide Settings

Symbol PG 200

Invest % of Portfolio

Invest % of Buying Power

AND NO MORE THAN

\$ In each Stock

\$ In each Option

Advanced Settings

Round Quantity UP

MAXIMUM POSITION IS 2,000 SHARES

Position Guide Recommends 200 Shares

300

Fig. 43

Special Terms Settings

No Special Terms

All or None

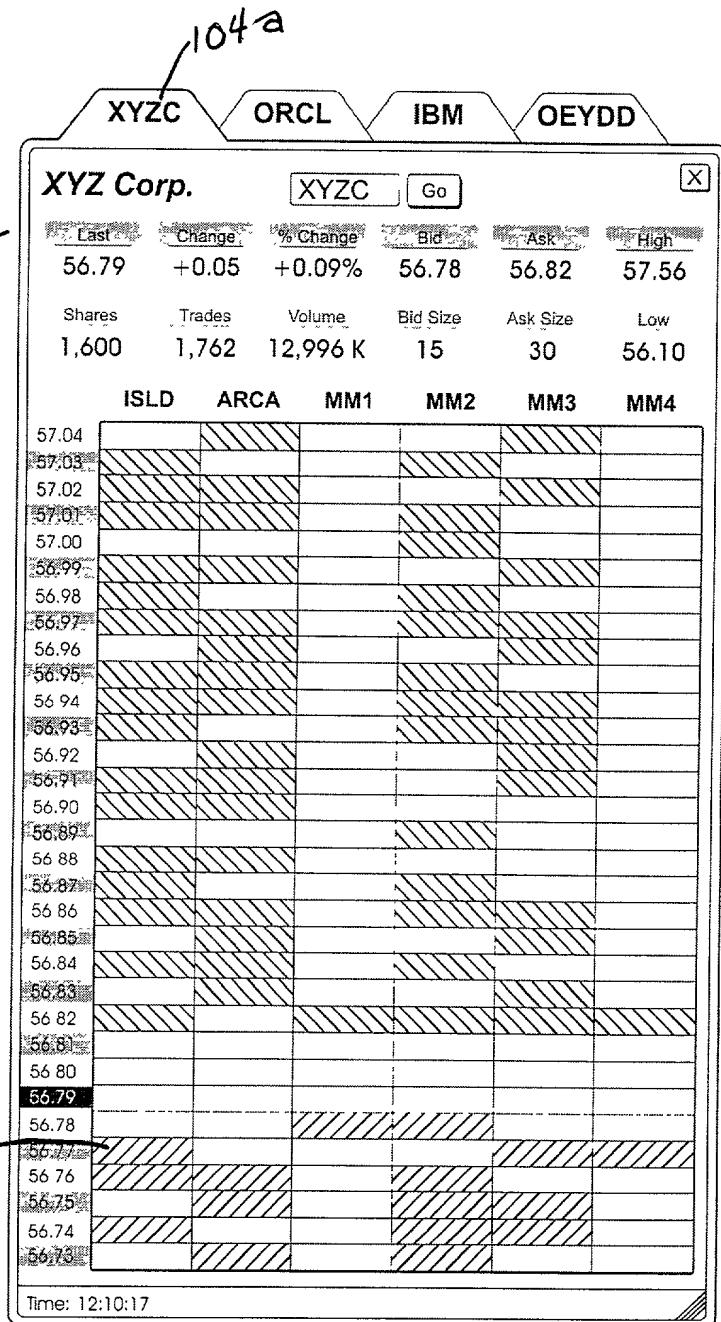
Minimum Quantity 200

Good Till Fill, 10 DAYS

Other Terms

Cancel

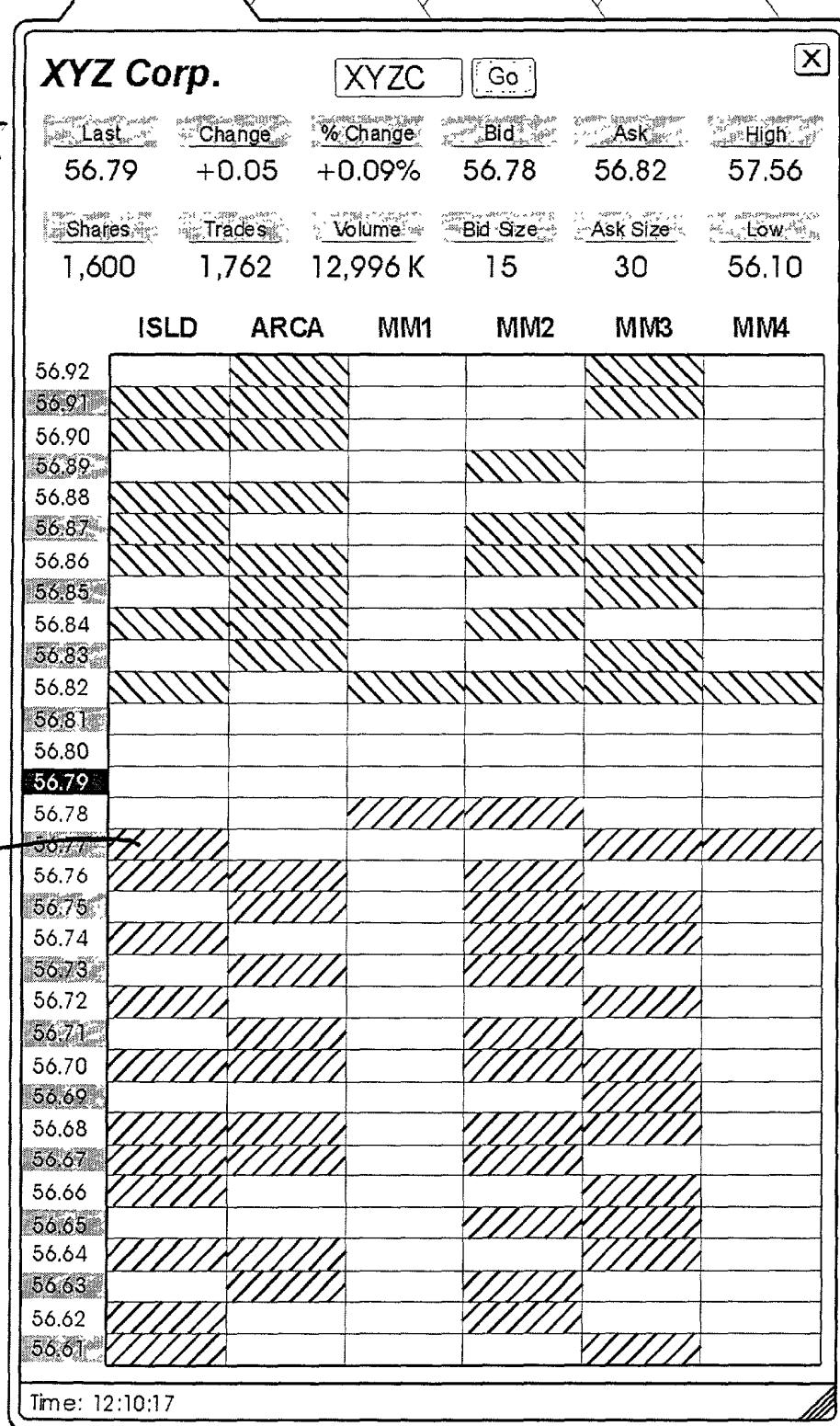
Submit



↑ ↑
102 100a

Fig. 25

↑
100g



↑ ↑
102 1002

Fig. 26

↑
100g

←120

194 →

OEYDD

ORCL

IBM

XYZC

OEX April 620 CALLS

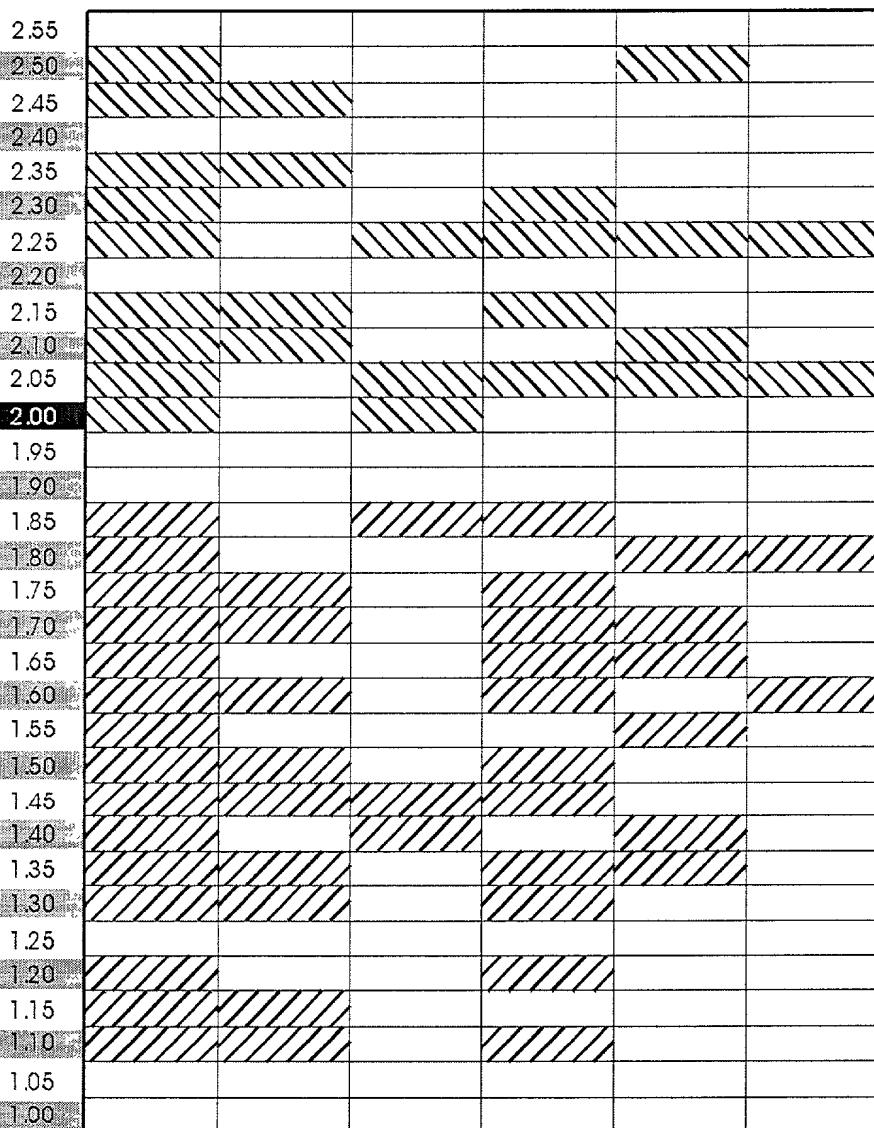
OEYDD

Go

X

Last	Change	% Change	Bid	Ask	High
2.00	+0.15	8.1%	1.85	2.00	2.15
Contracts	Trades	Volume	Bid Size	Ask Size	Low
5	8	57	21	10	1.75

AGGRE. AMEX PCX CBOE PHLX ISE



← 196

↑ ↑ ↑ ↑ ↑ ↑ ↑ ↑
102 198 100a 100b 100c 100d 100e

Fig. 27

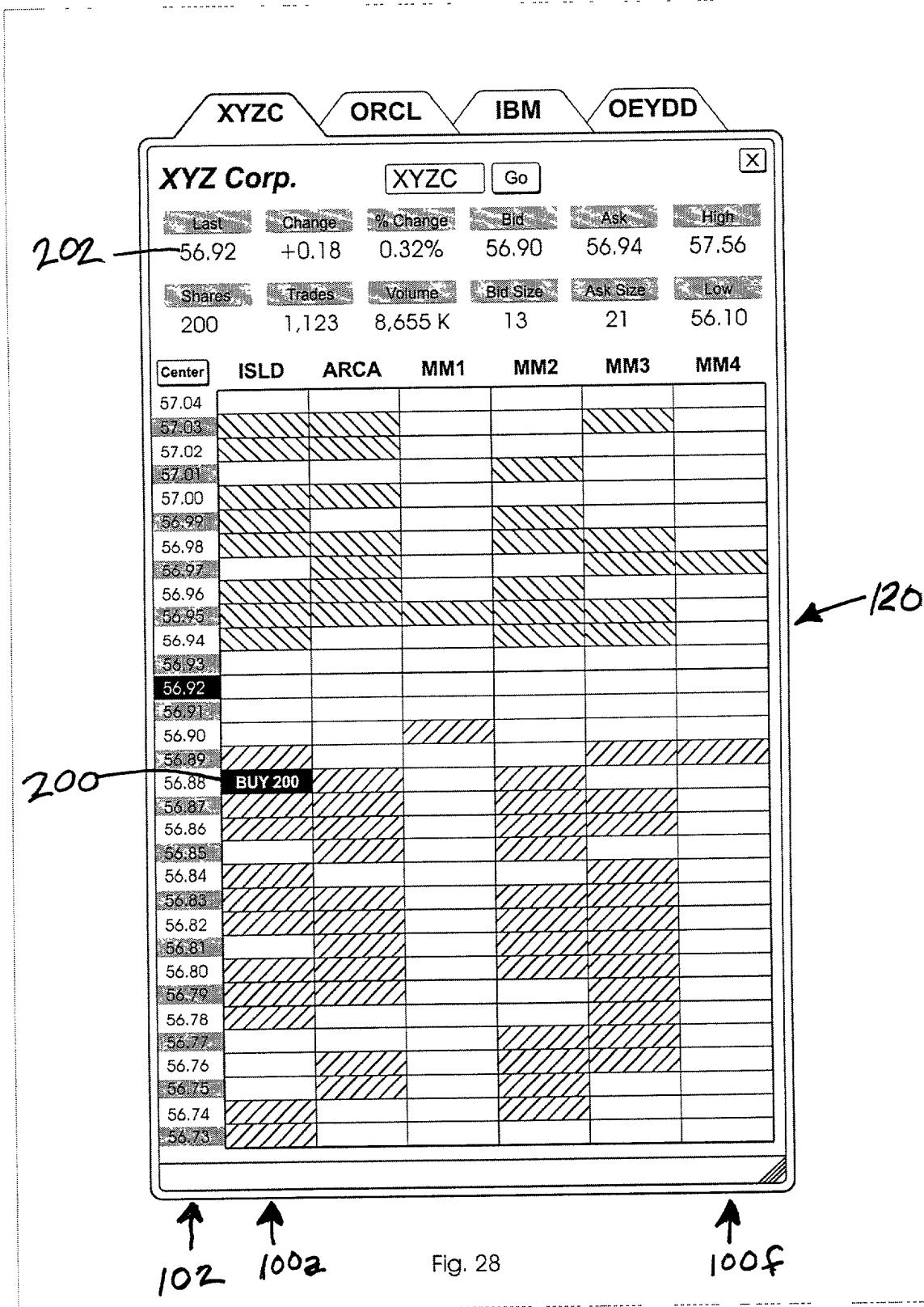
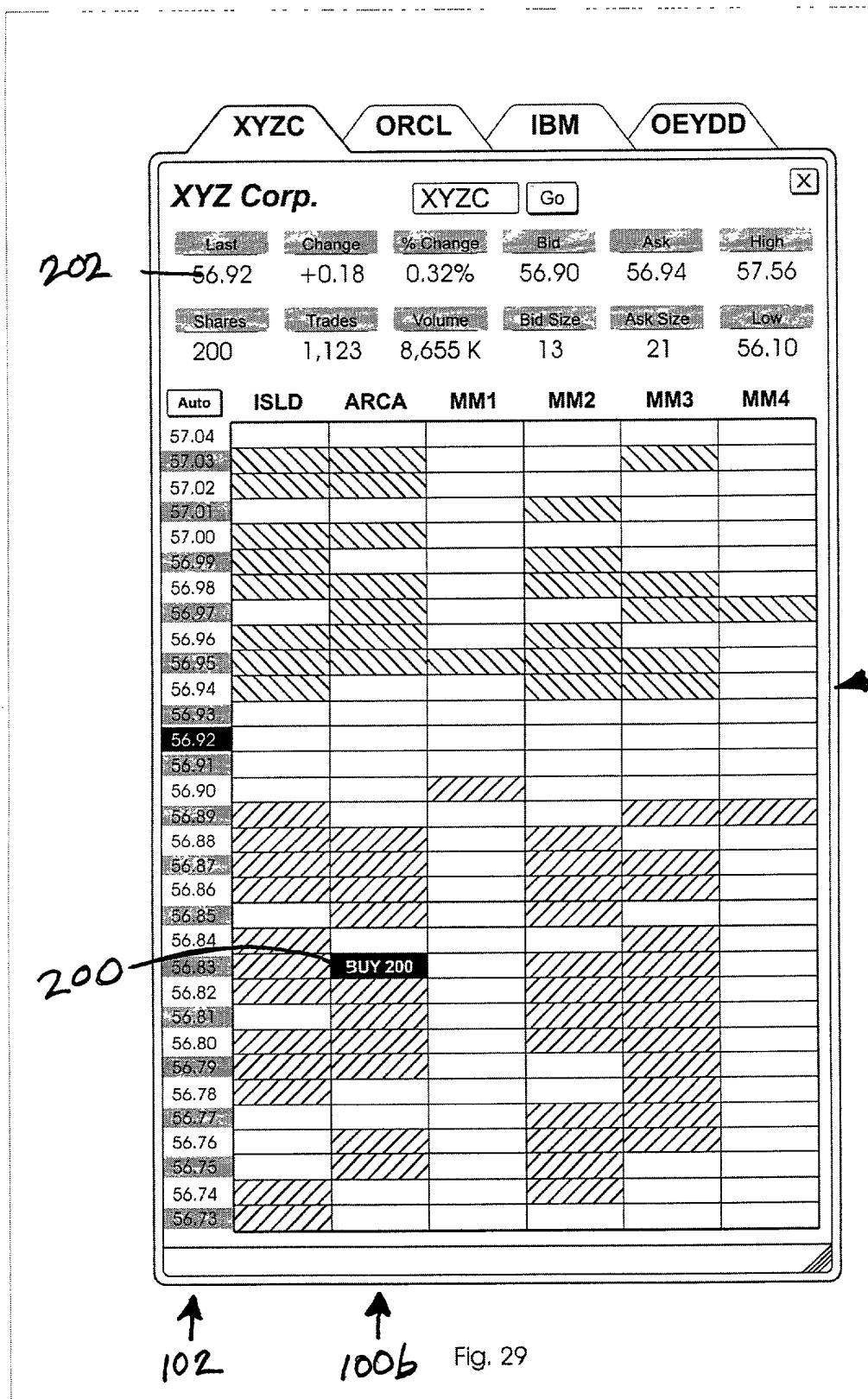


Fig. 28



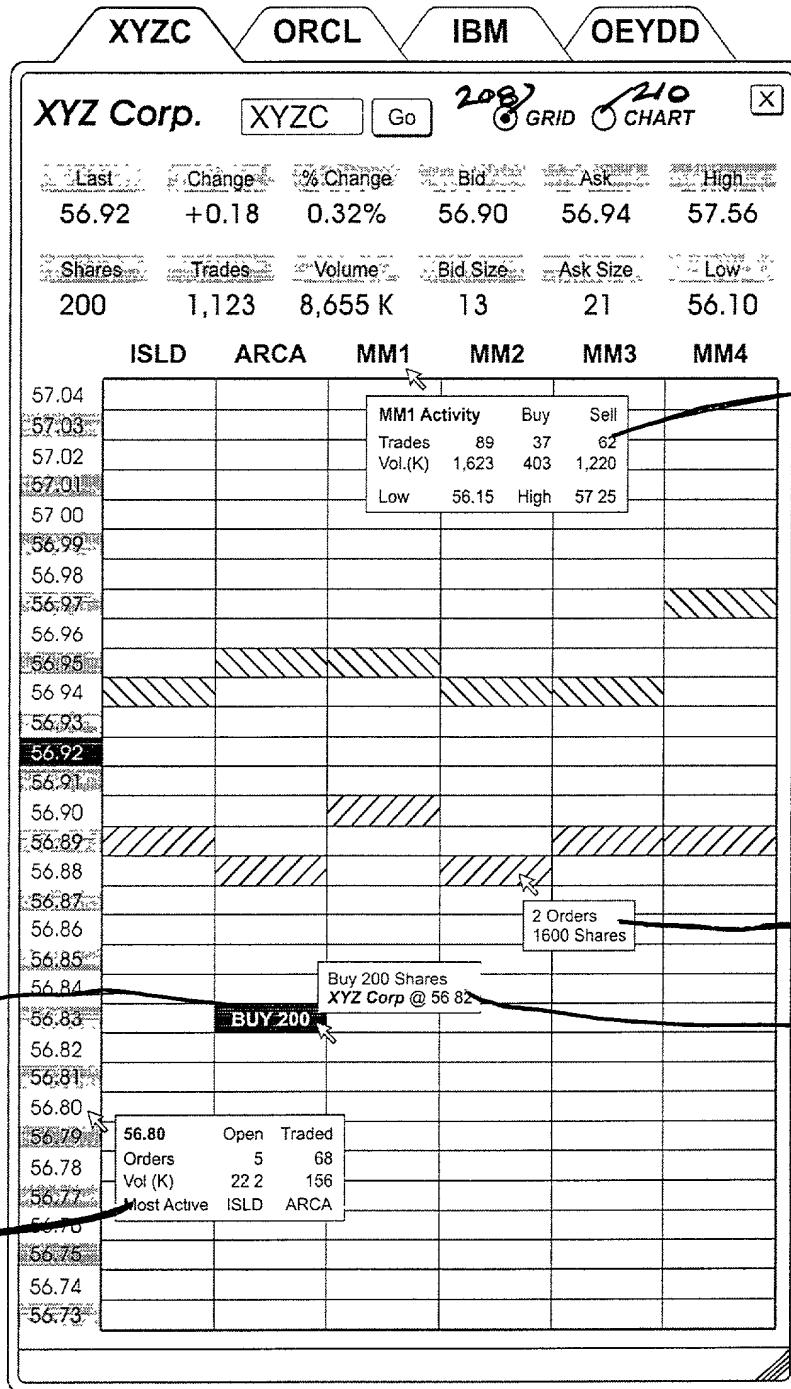


Fig. 30

102

↑

202

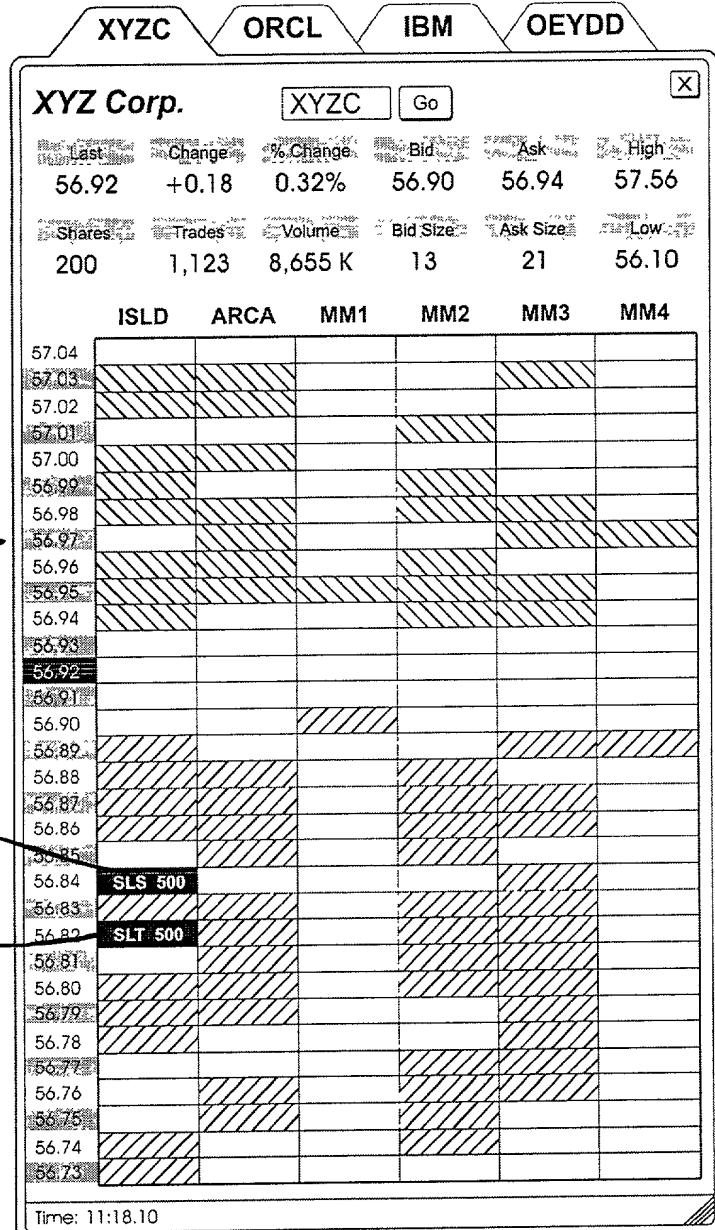
200

206

120

204

204



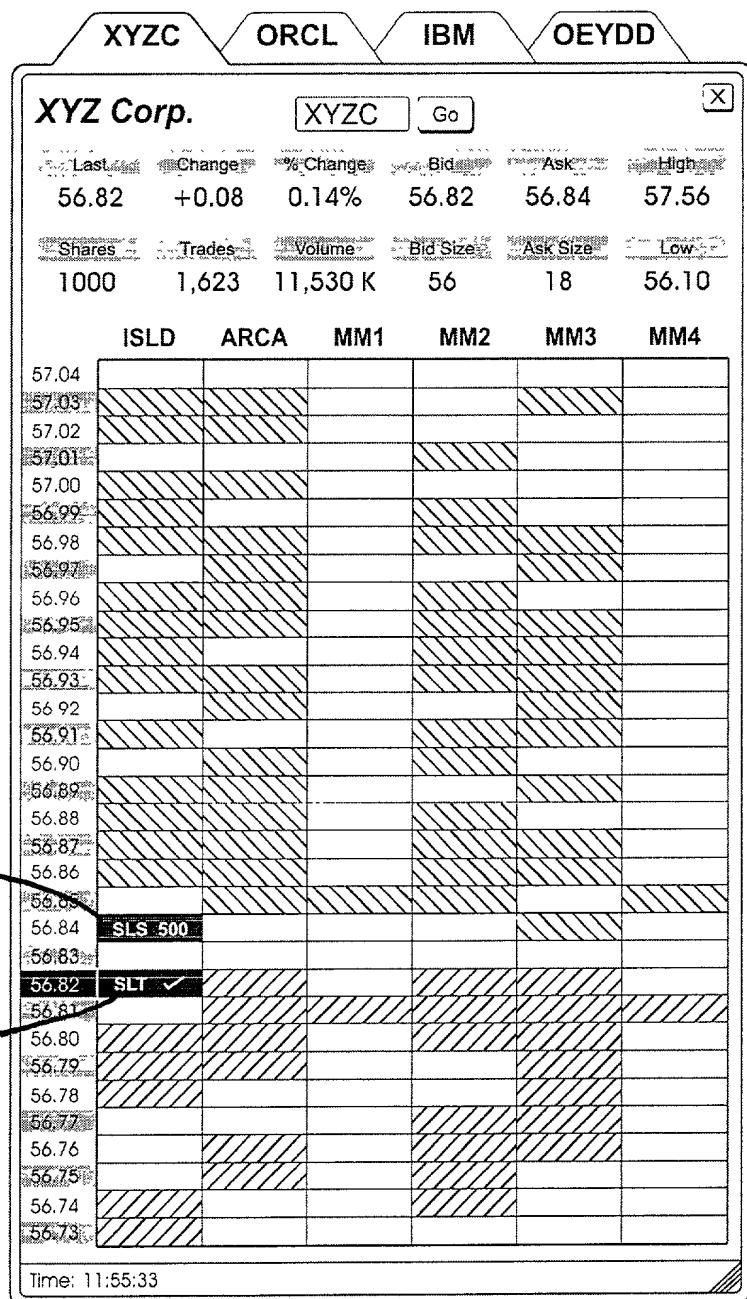
120 →

210

208

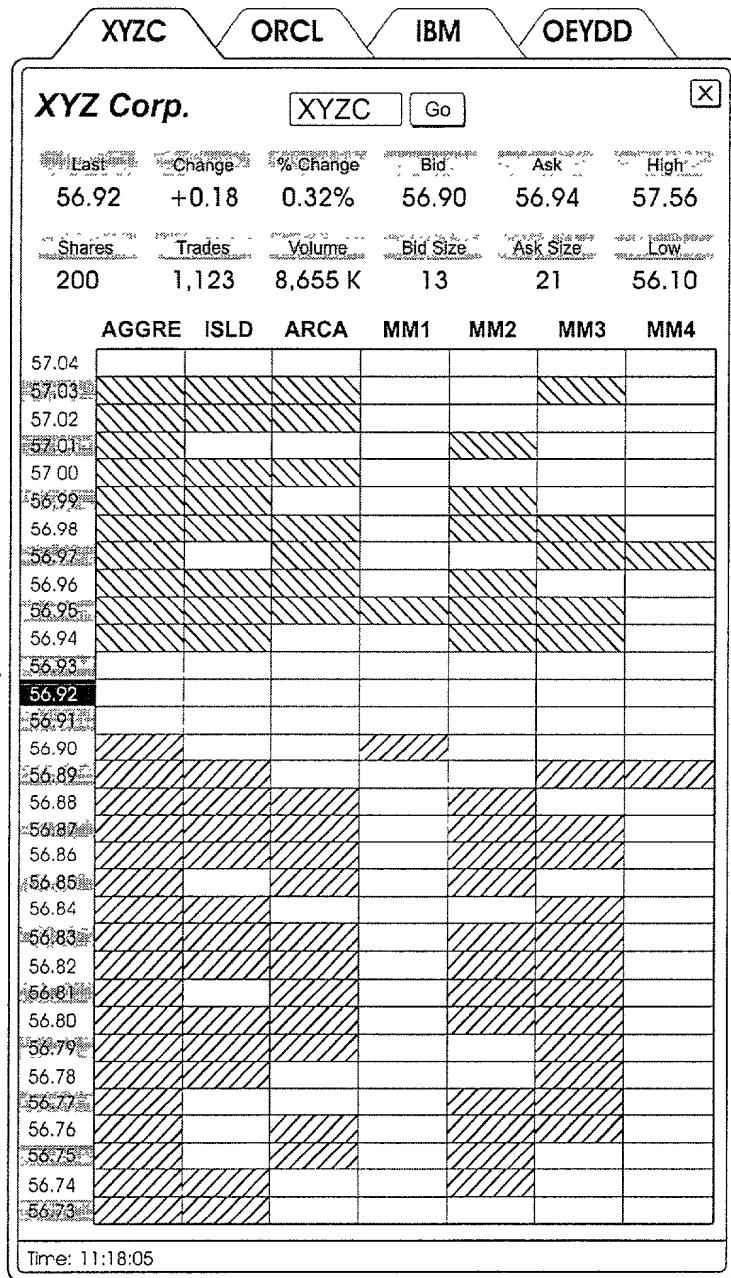
↑
102

Fig. 31

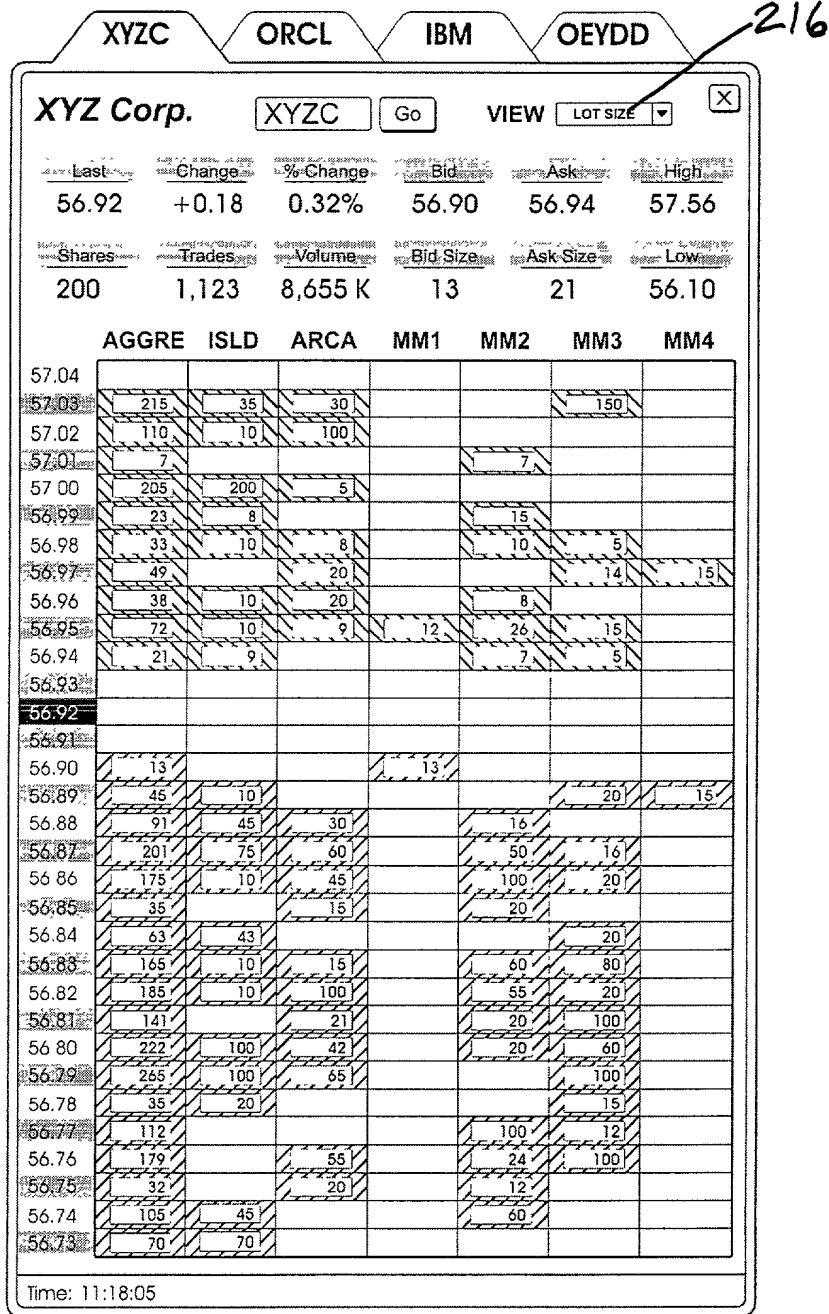


↑
102

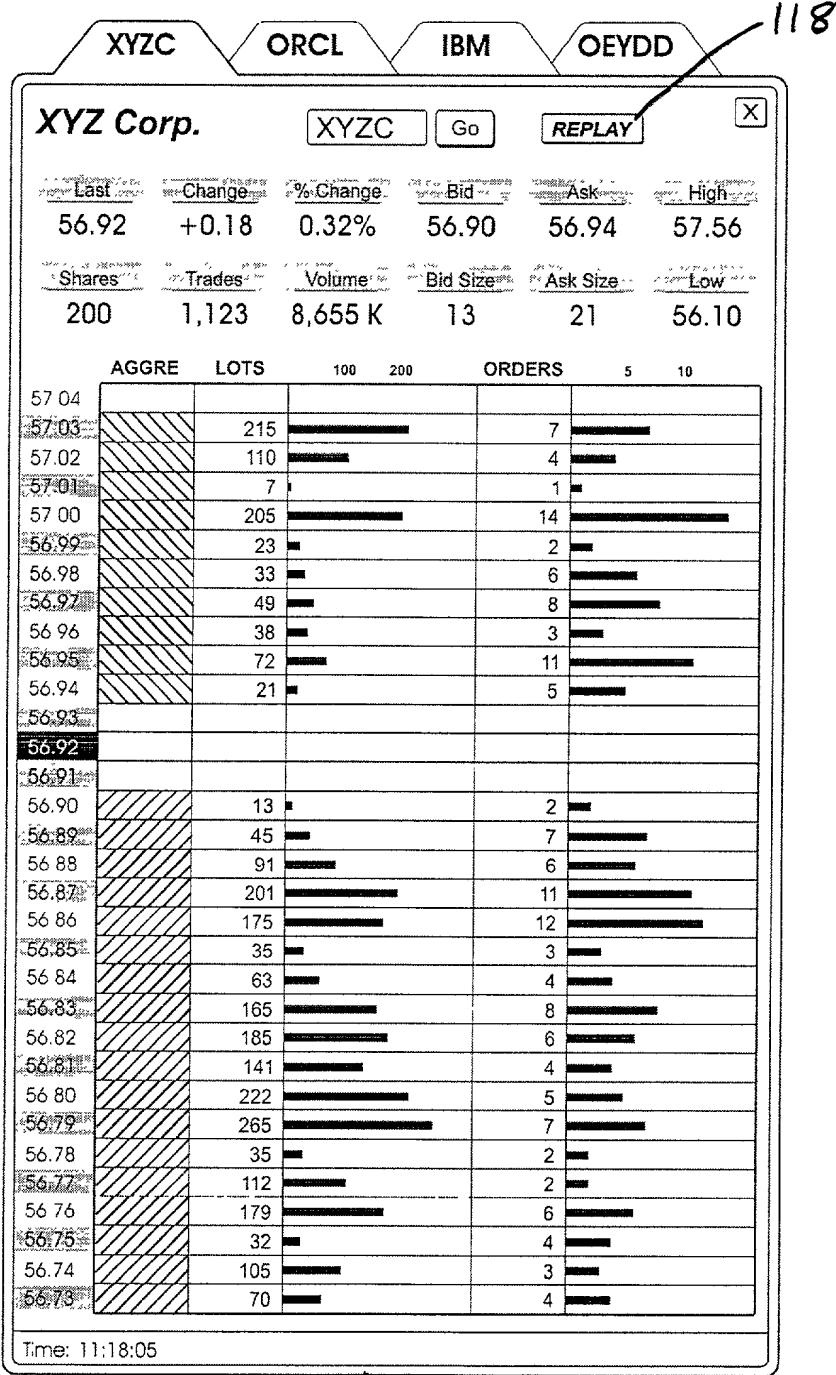
Fig. 32



↑ 102 ↑ 214 ↑ 1002 Fig. 33 ↑ 100f



↑ ↑ ↑ 100f
 102 214 1002 Fig. 34



↑ 102 ↑ 214 ↑ 222 ↑ 226 ↑ 224 ↑ 228

FIG.35

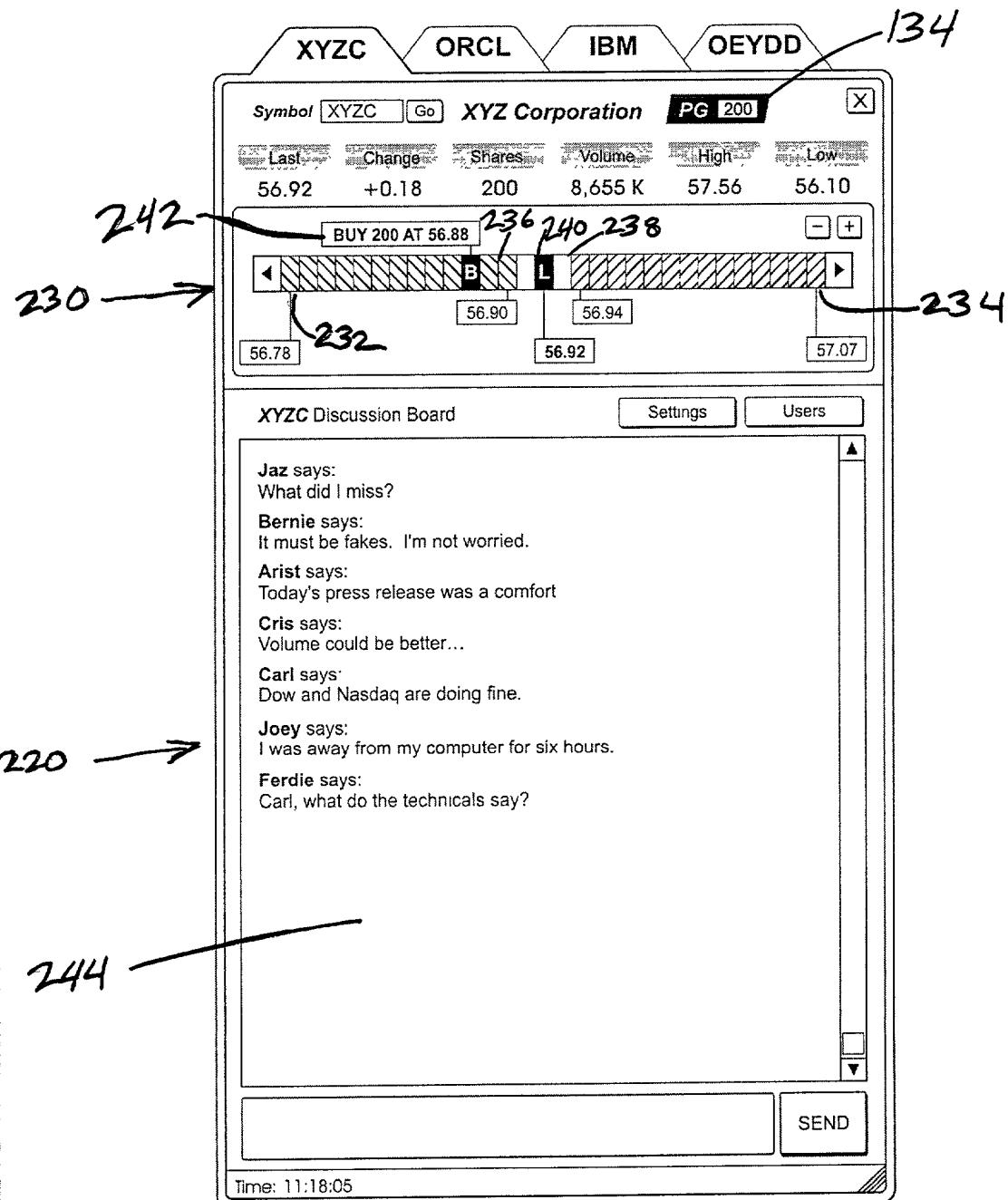


Fig. 36

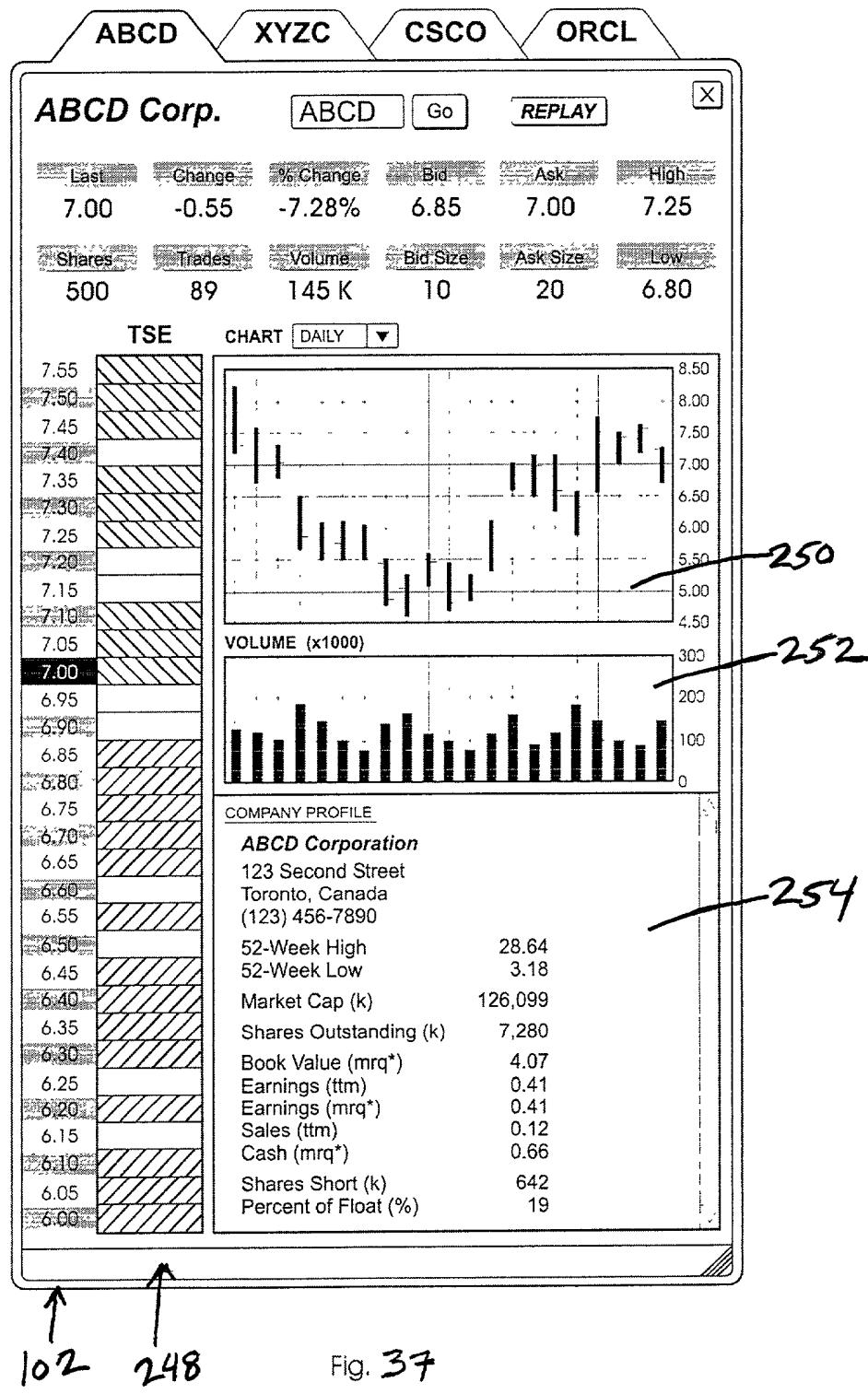
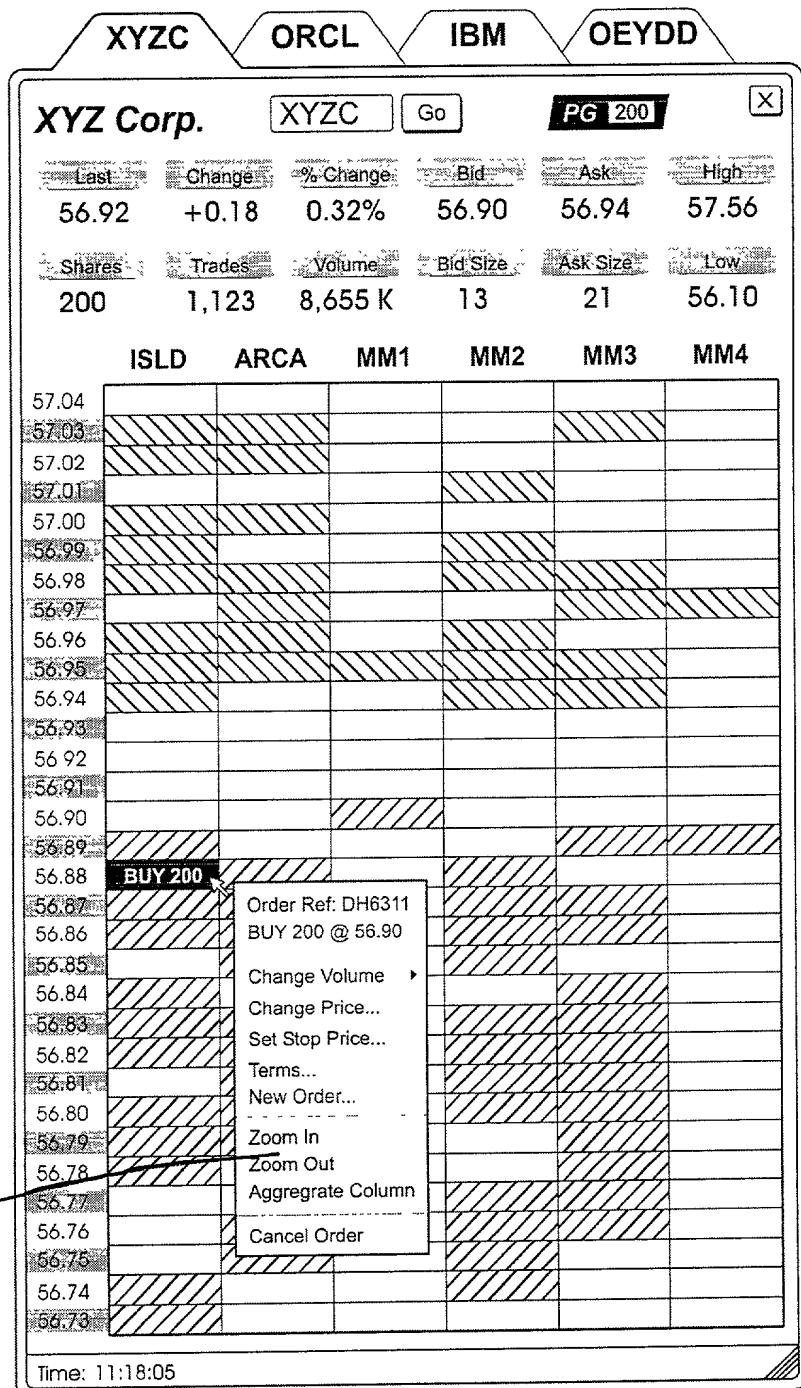


Fig. 37



102 ↑
1002 ↑

Fig. 38

↑
100F

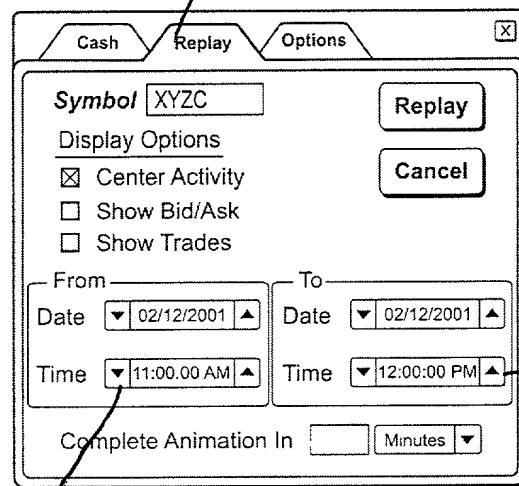


Fig. 39

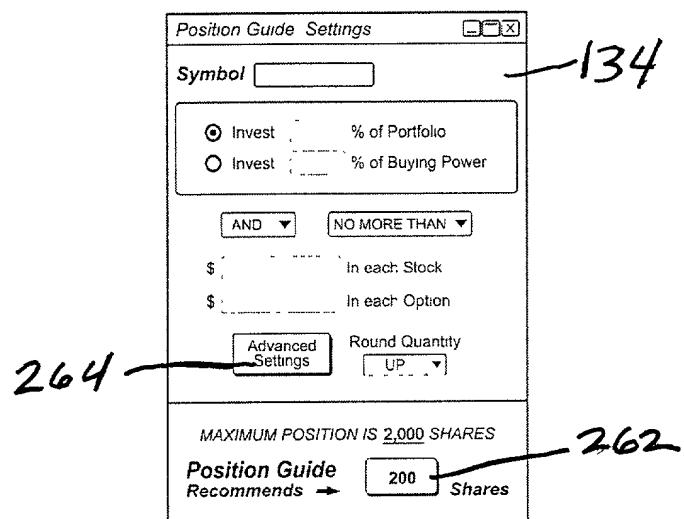


Fig. 40

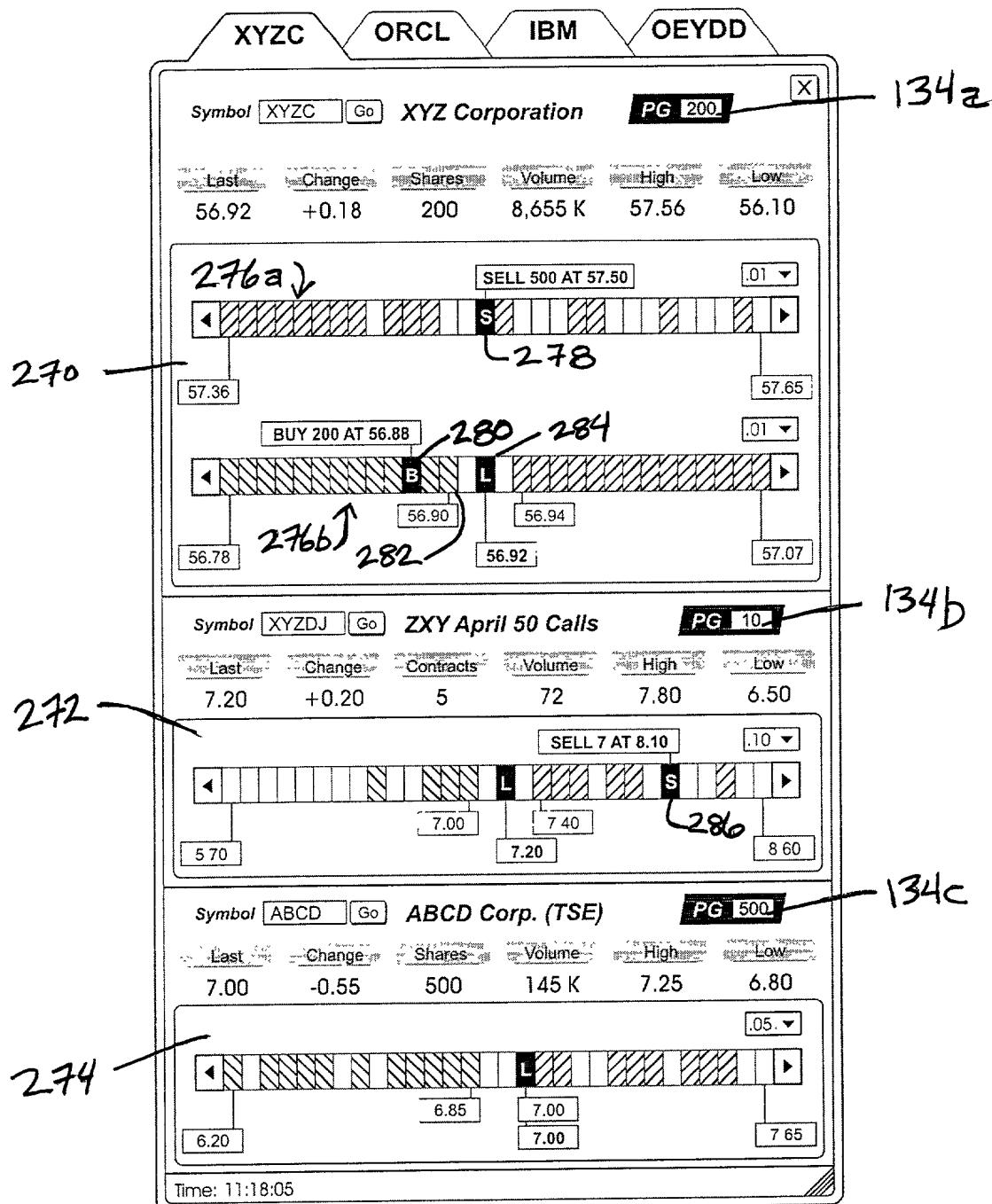


Fig. 41

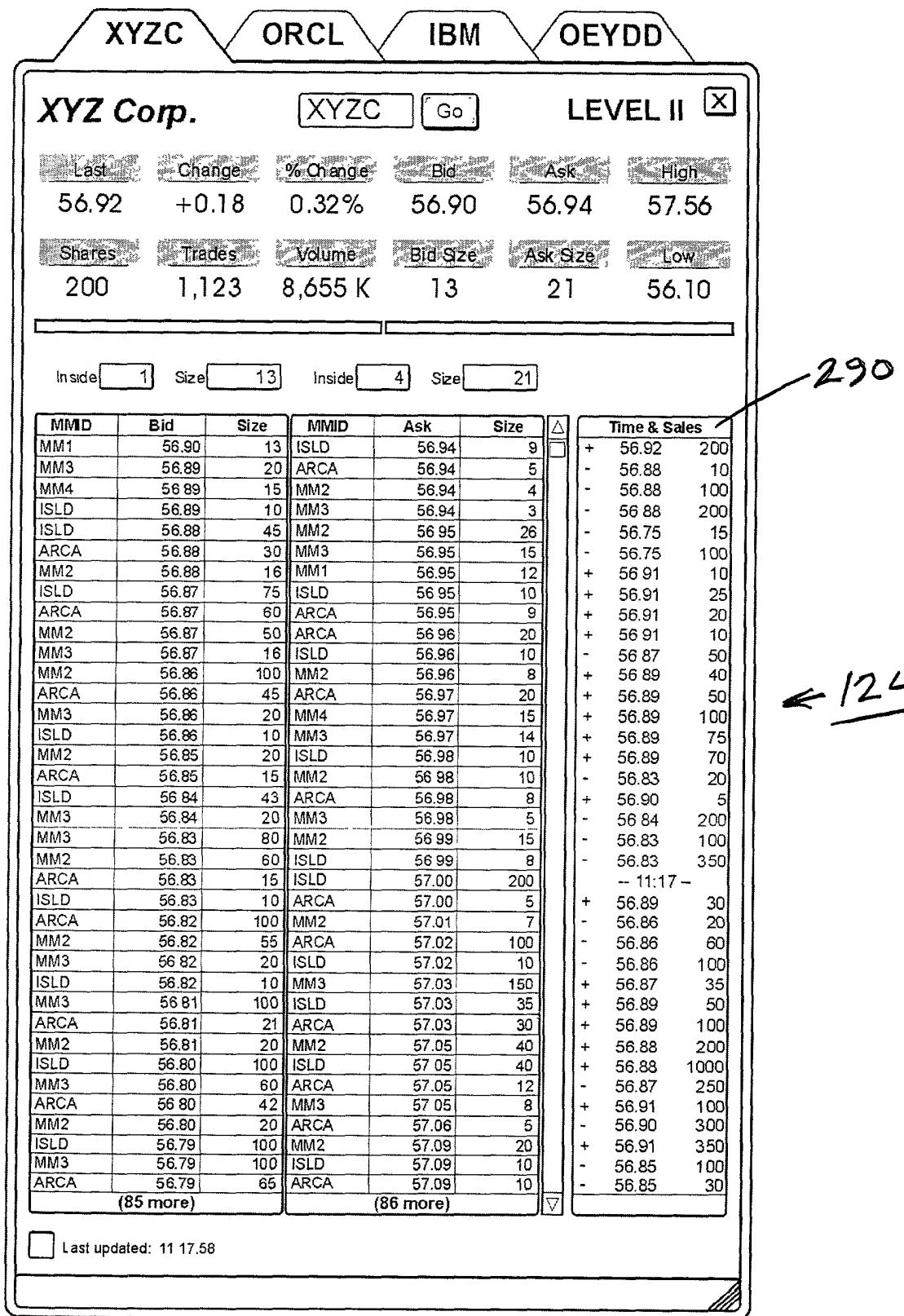


Fig. 42

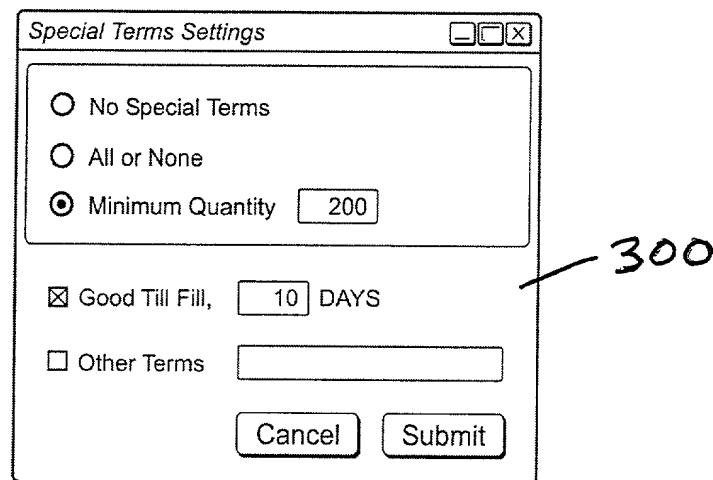
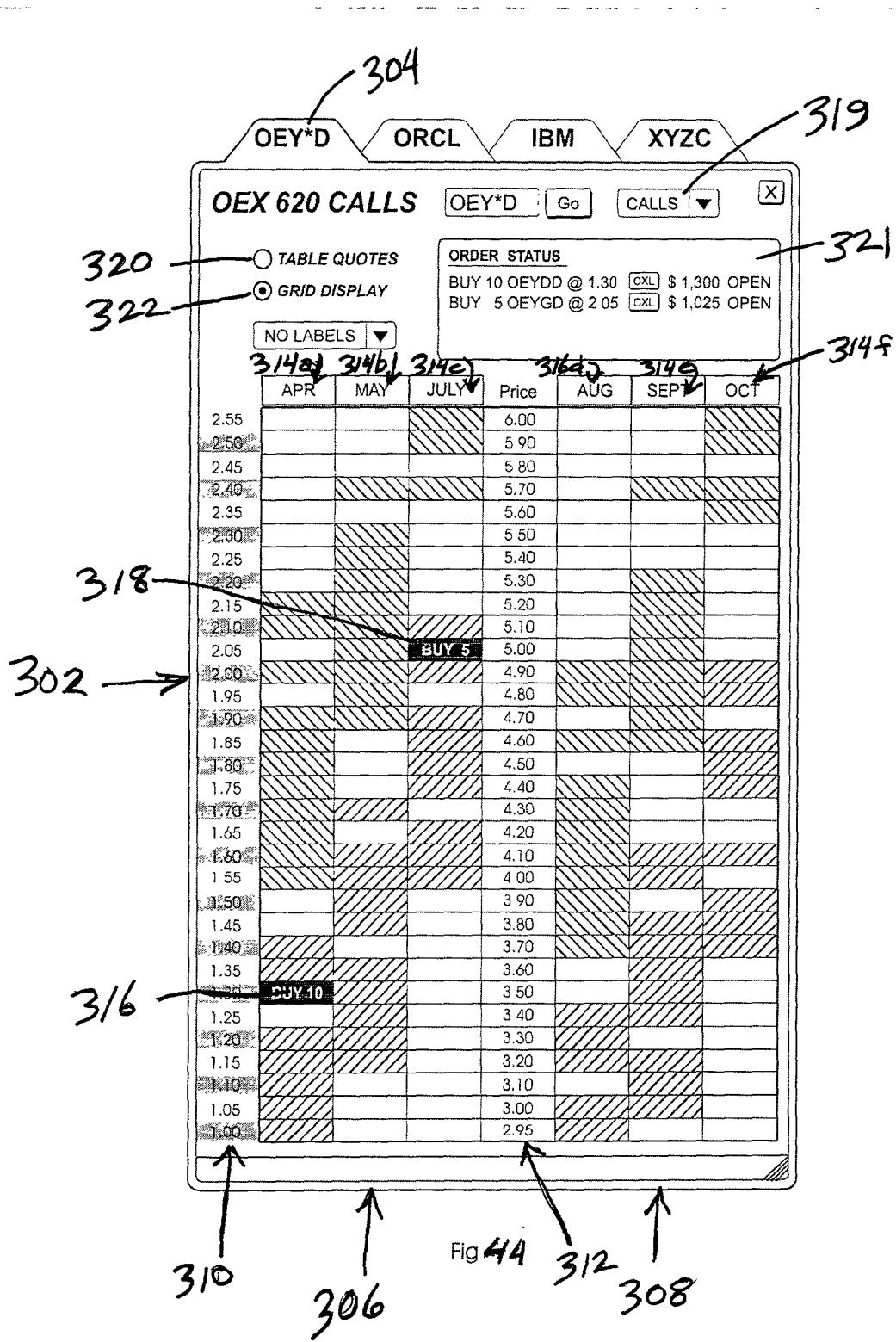
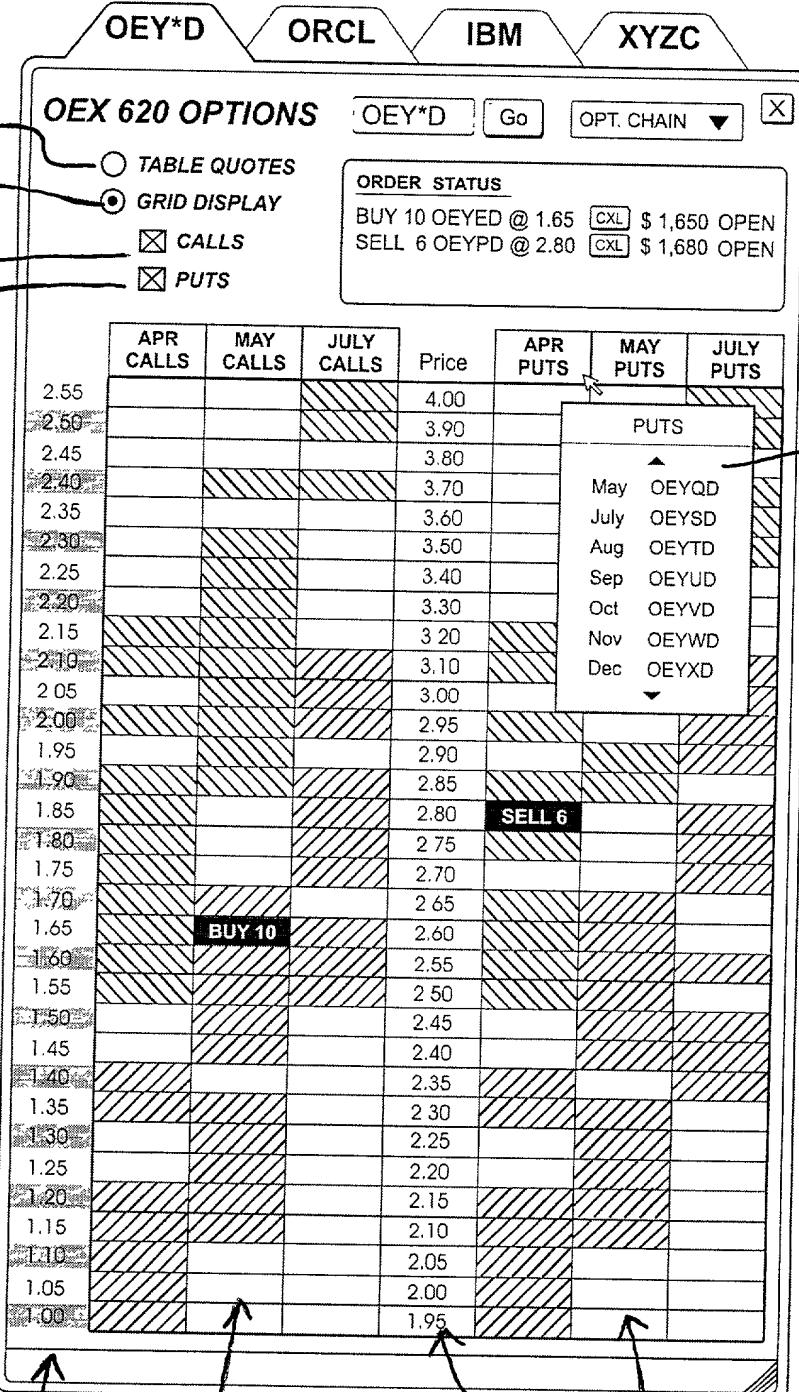


Fig. 43





332

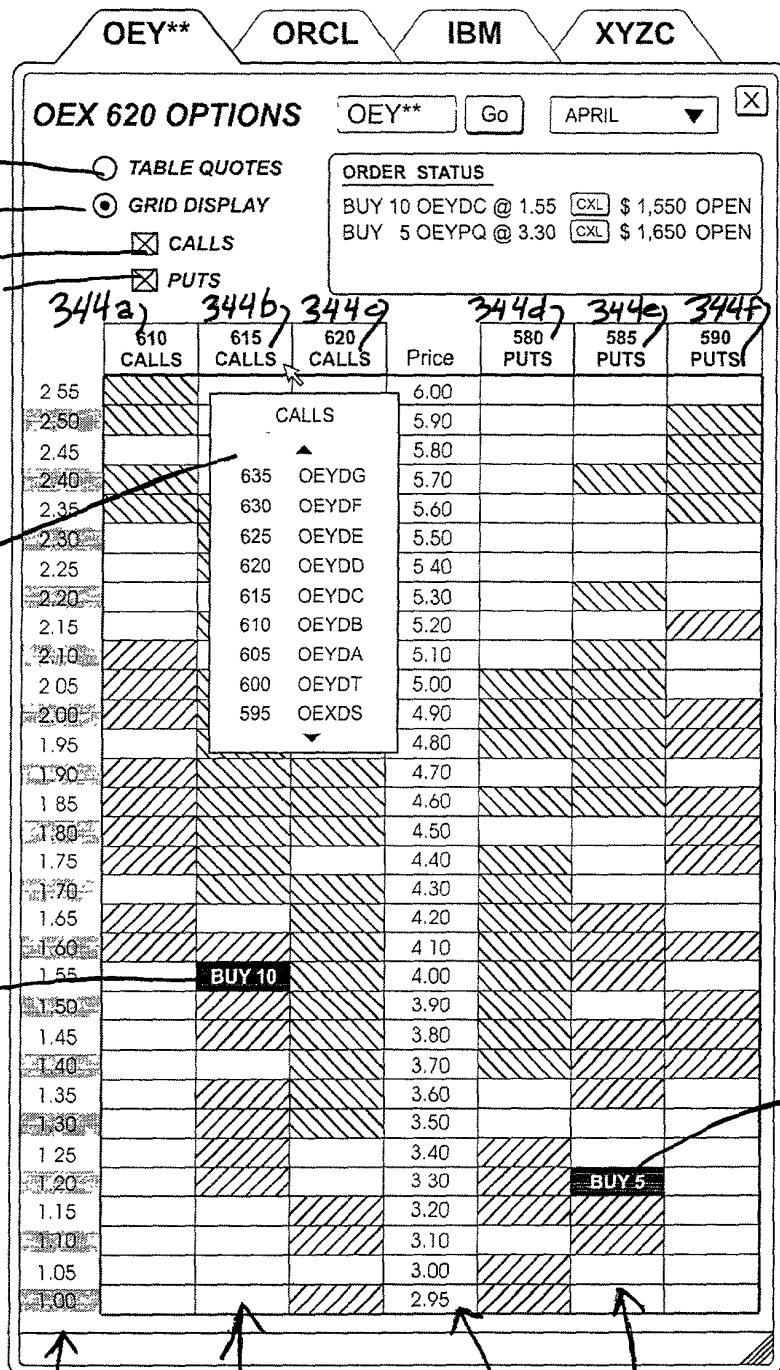
324

Fig. 45

334

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328

360

350

352

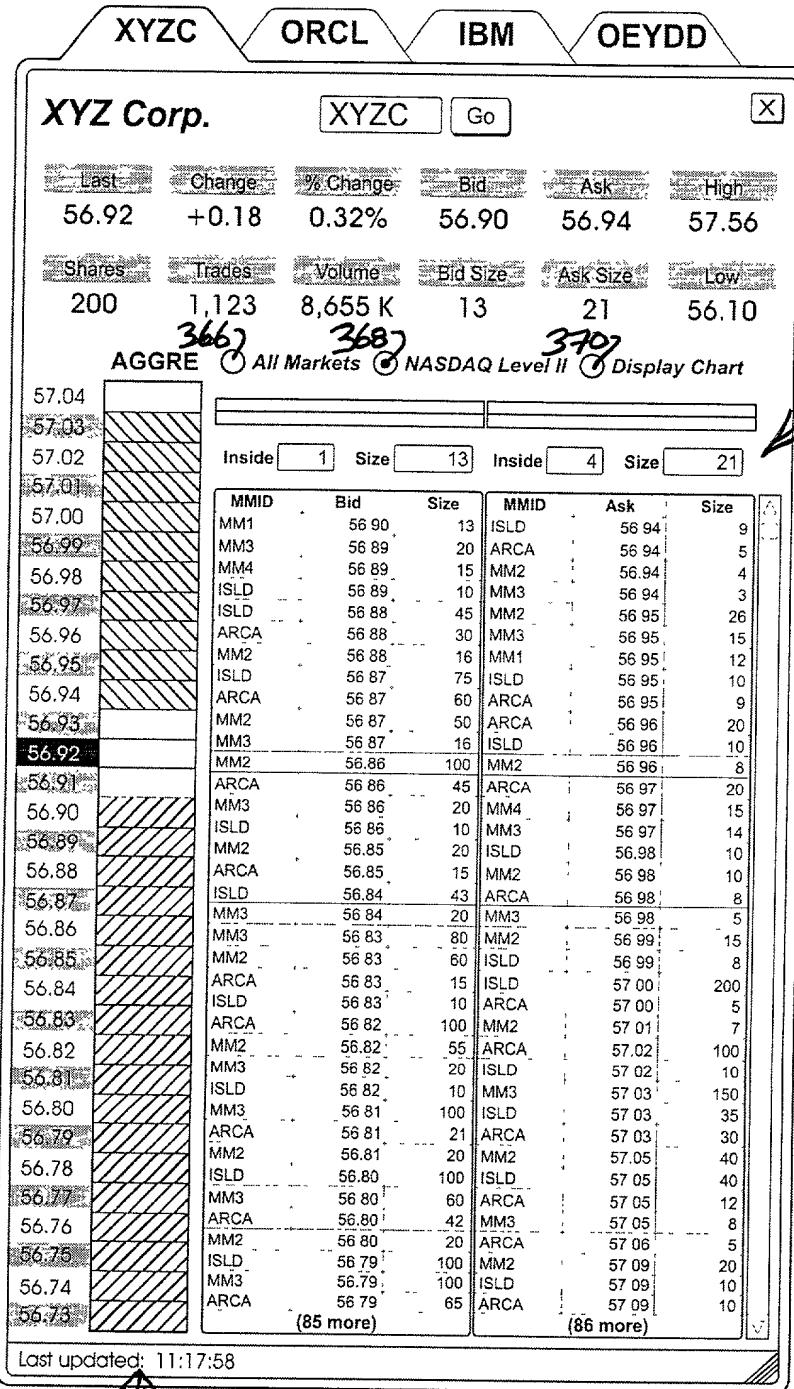
354

342

Fig. 46

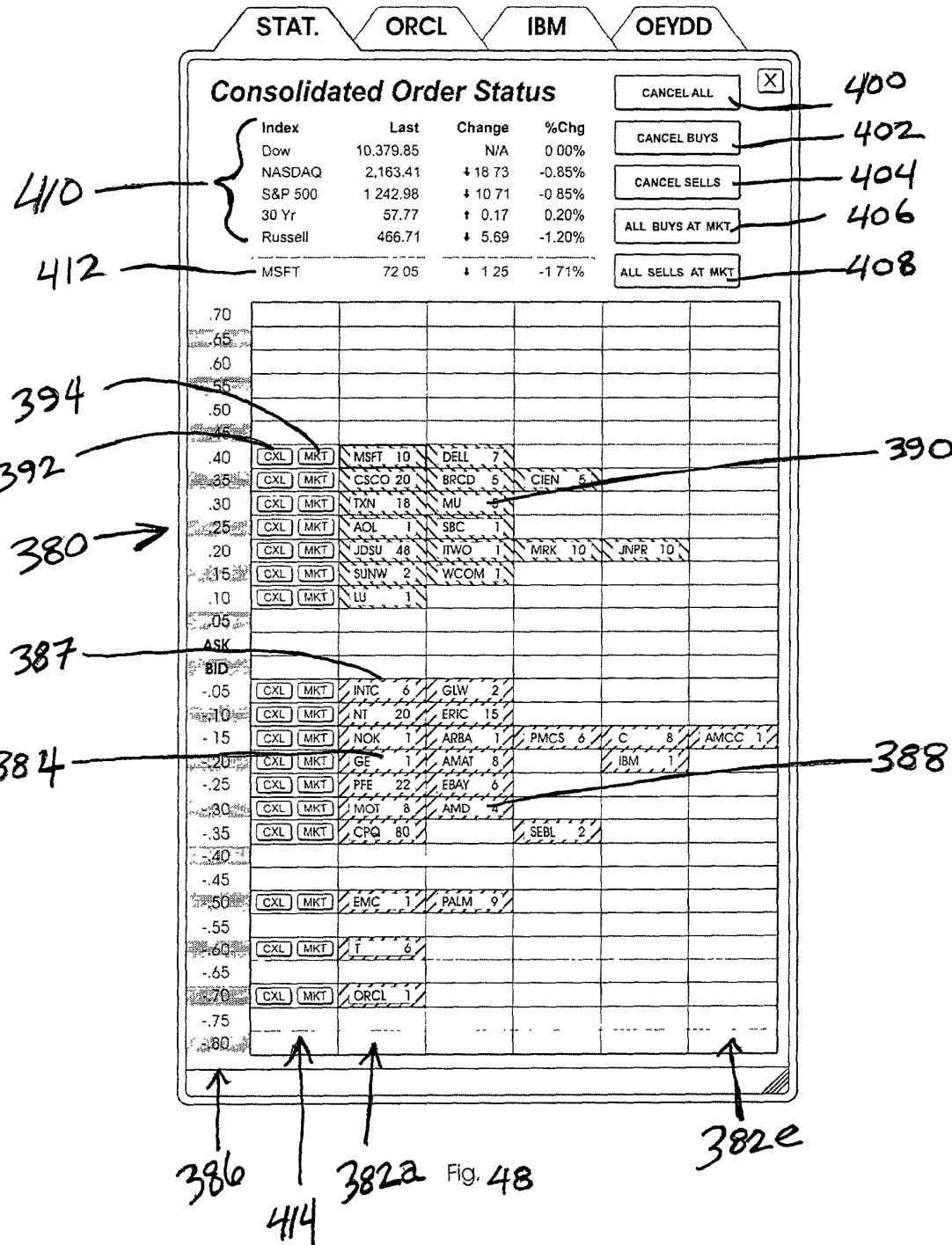
356

340

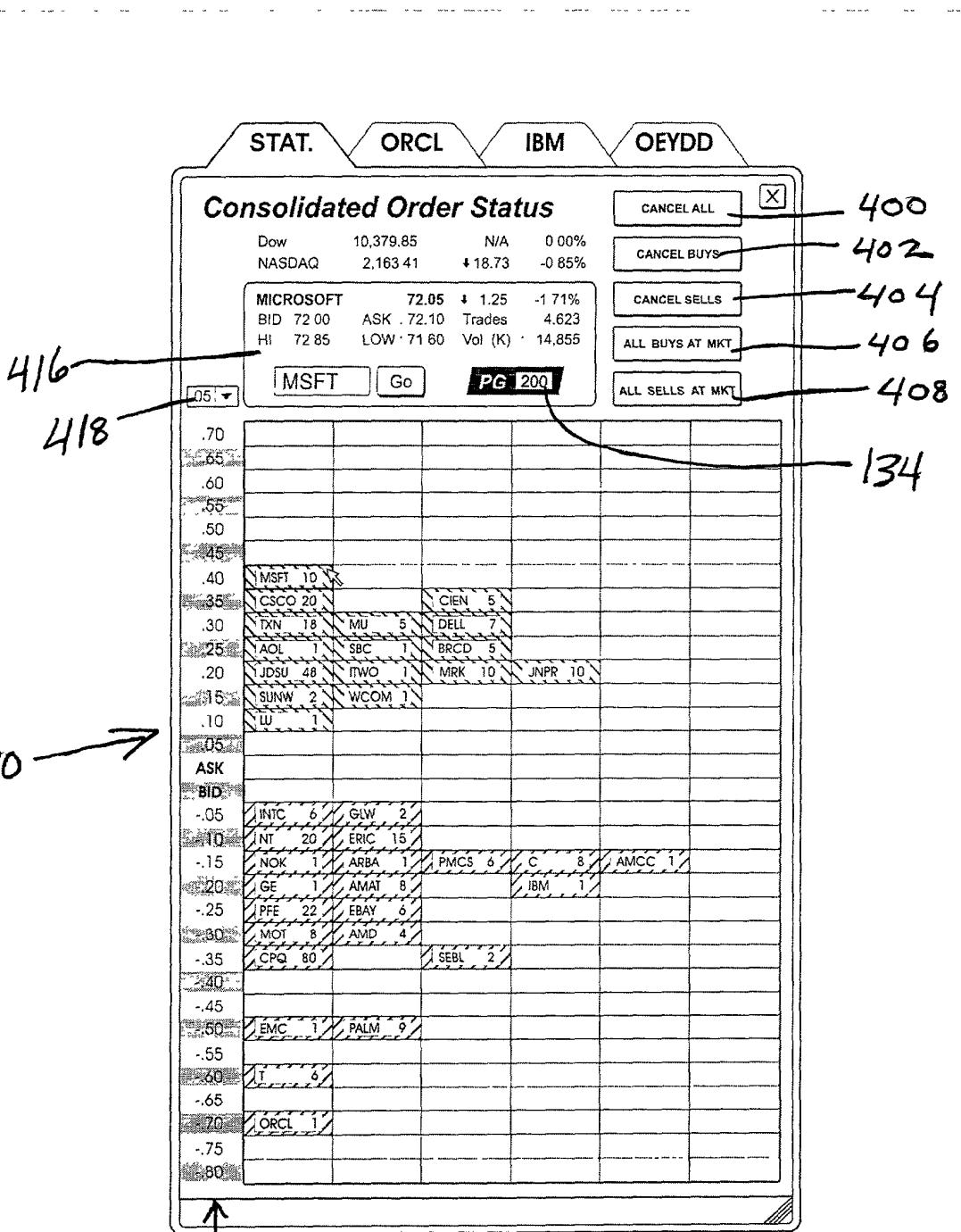


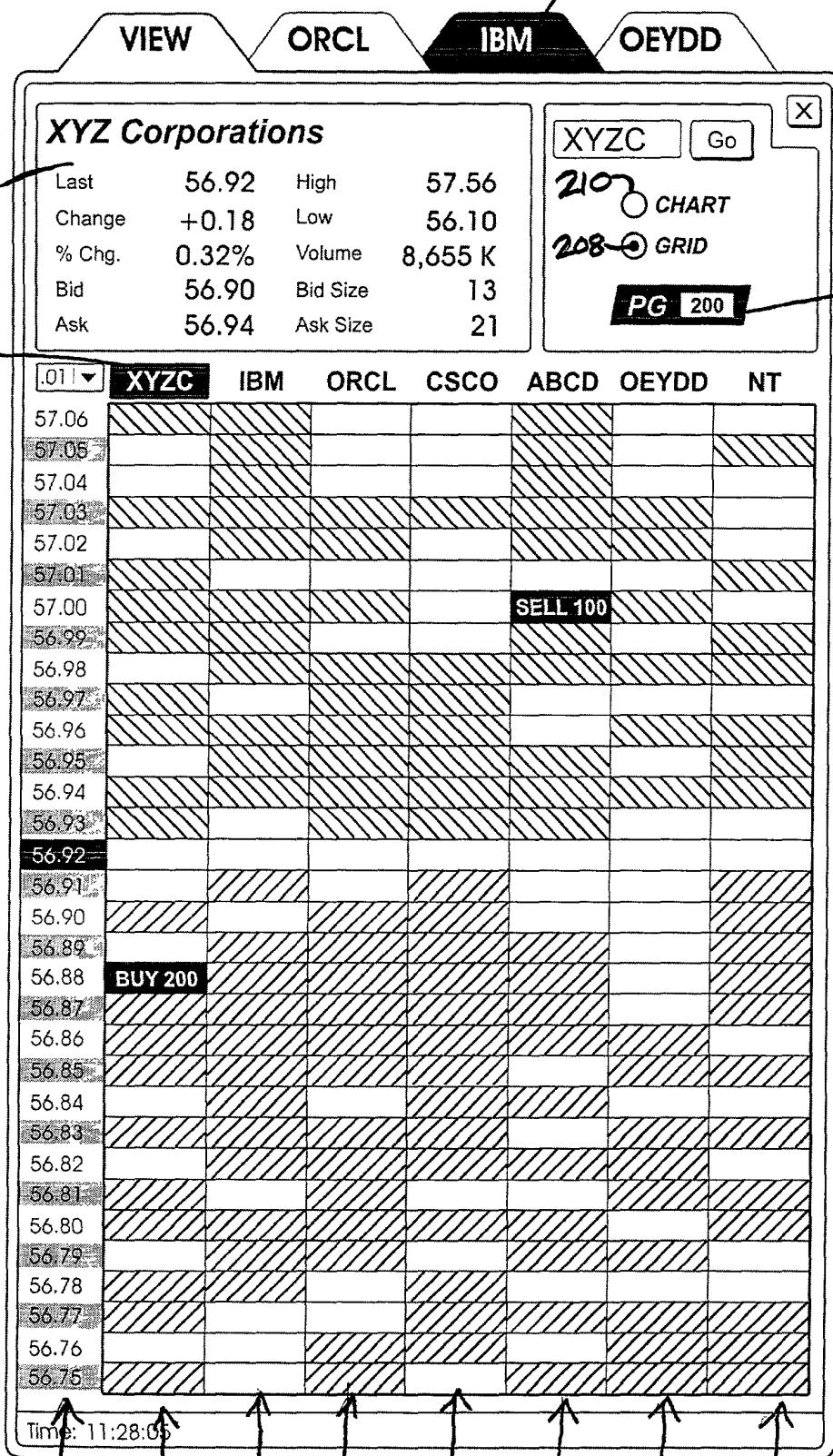
362

Fig. 47



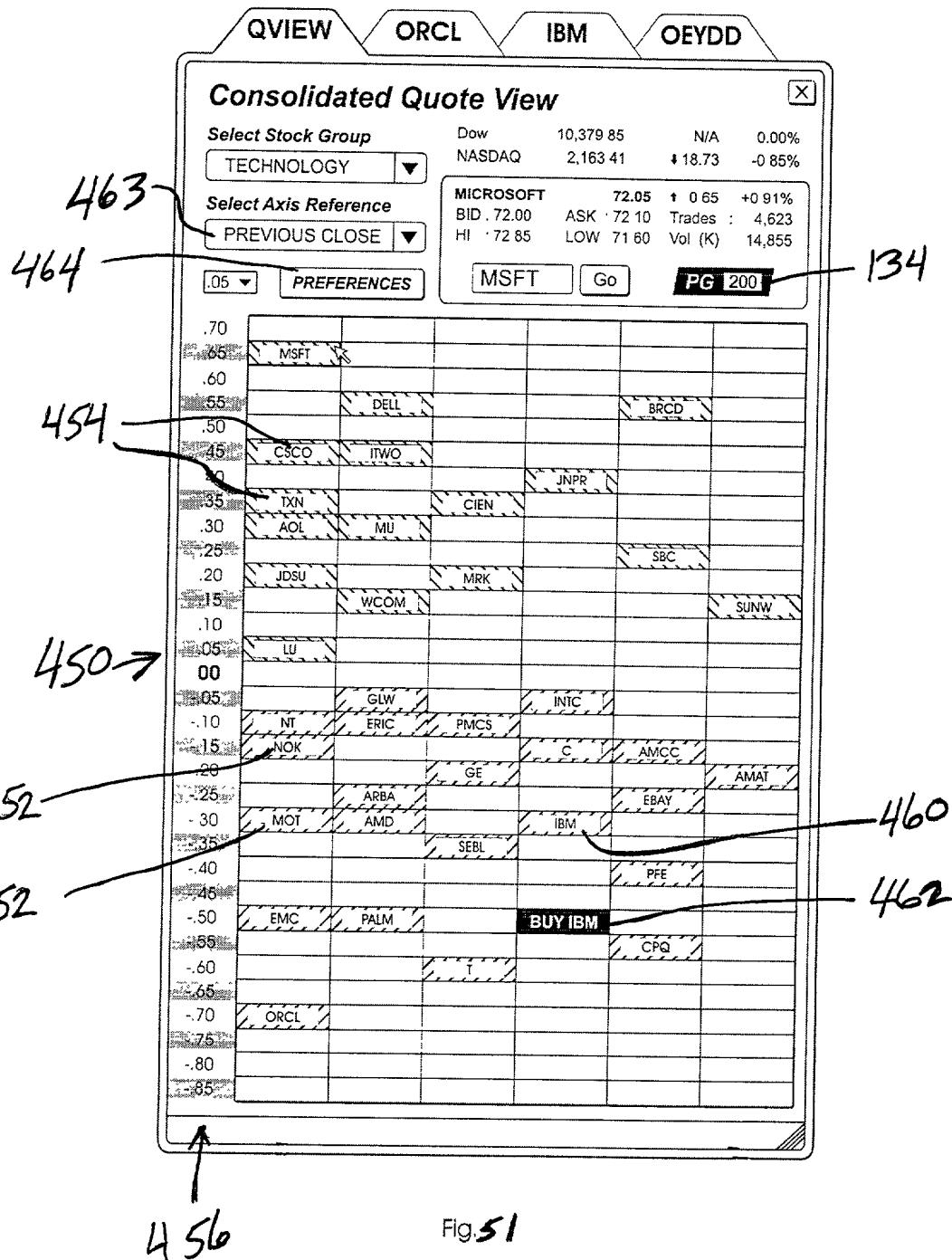
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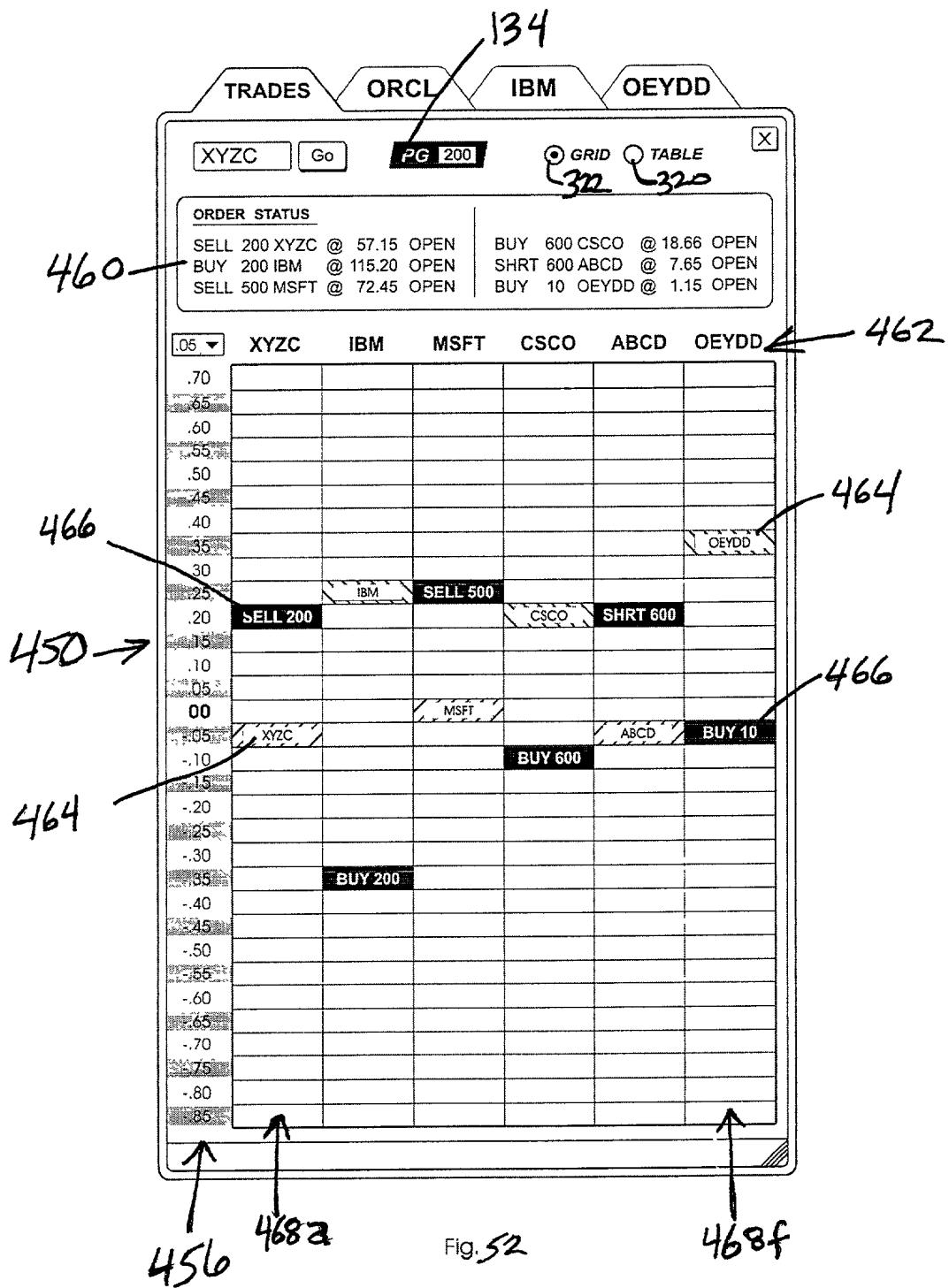




432 434a 434b 434c 434d 434e 434f 434g

Fig. 50





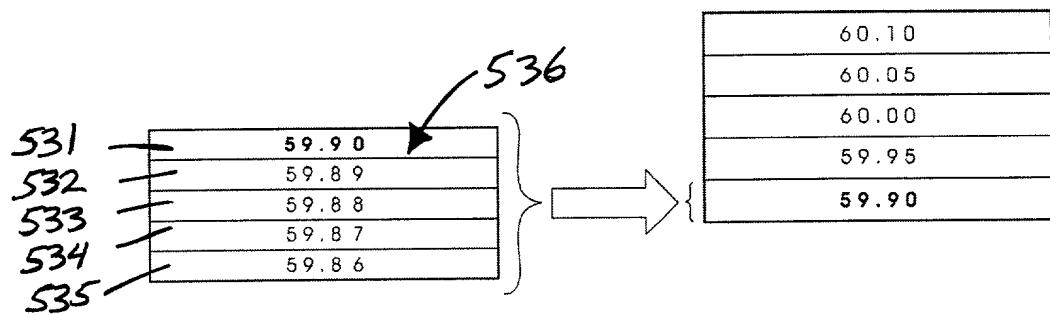


Fig. 53 a

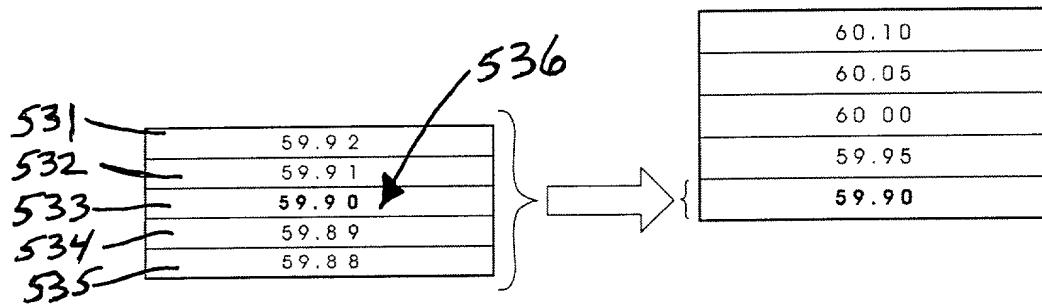


Fig. 53 b

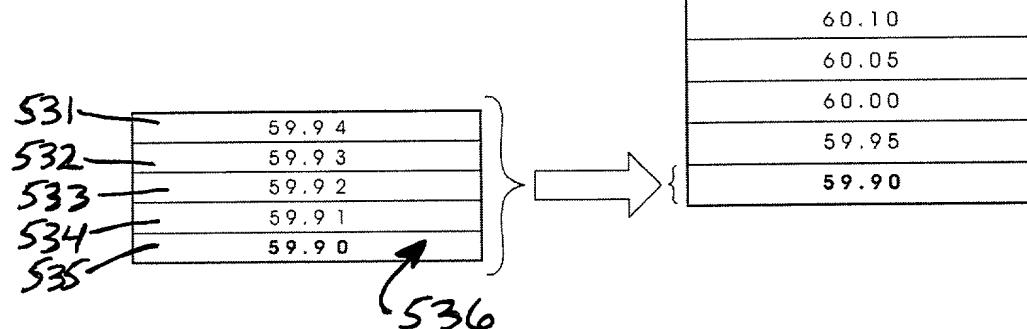


Fig. 53 c

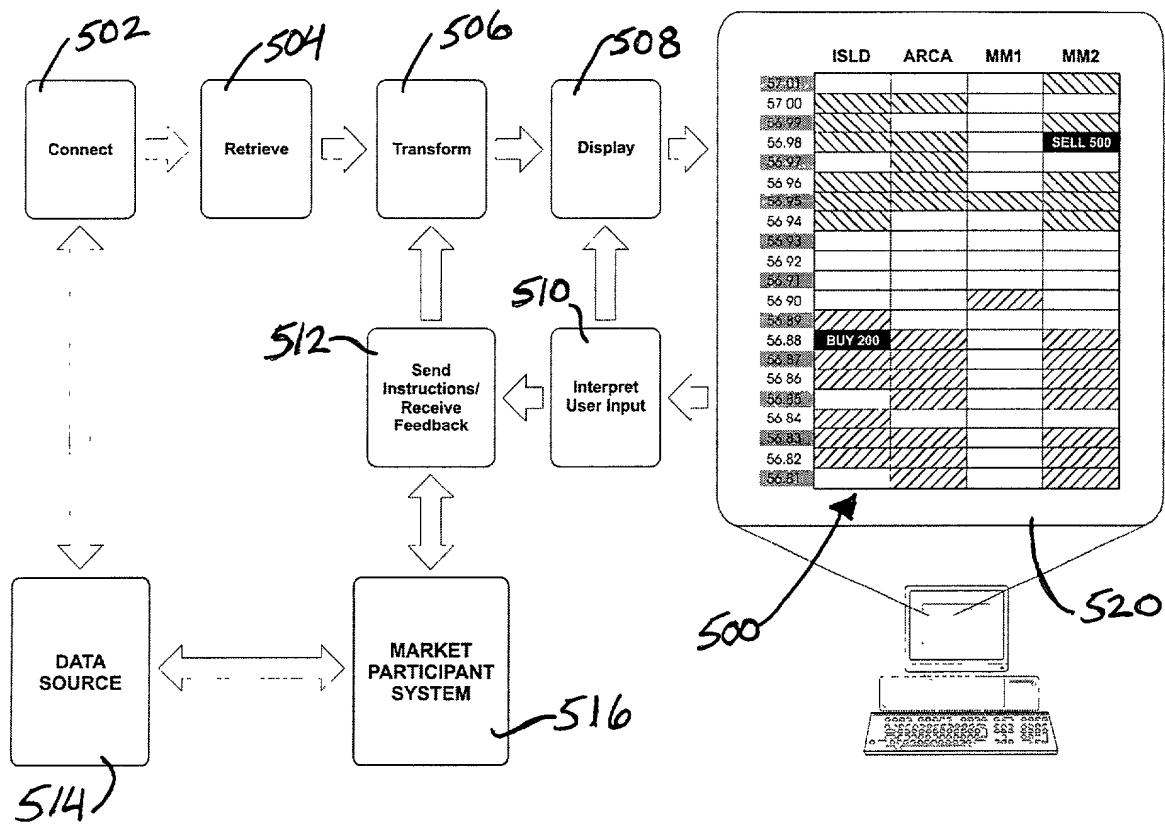


Fig 54

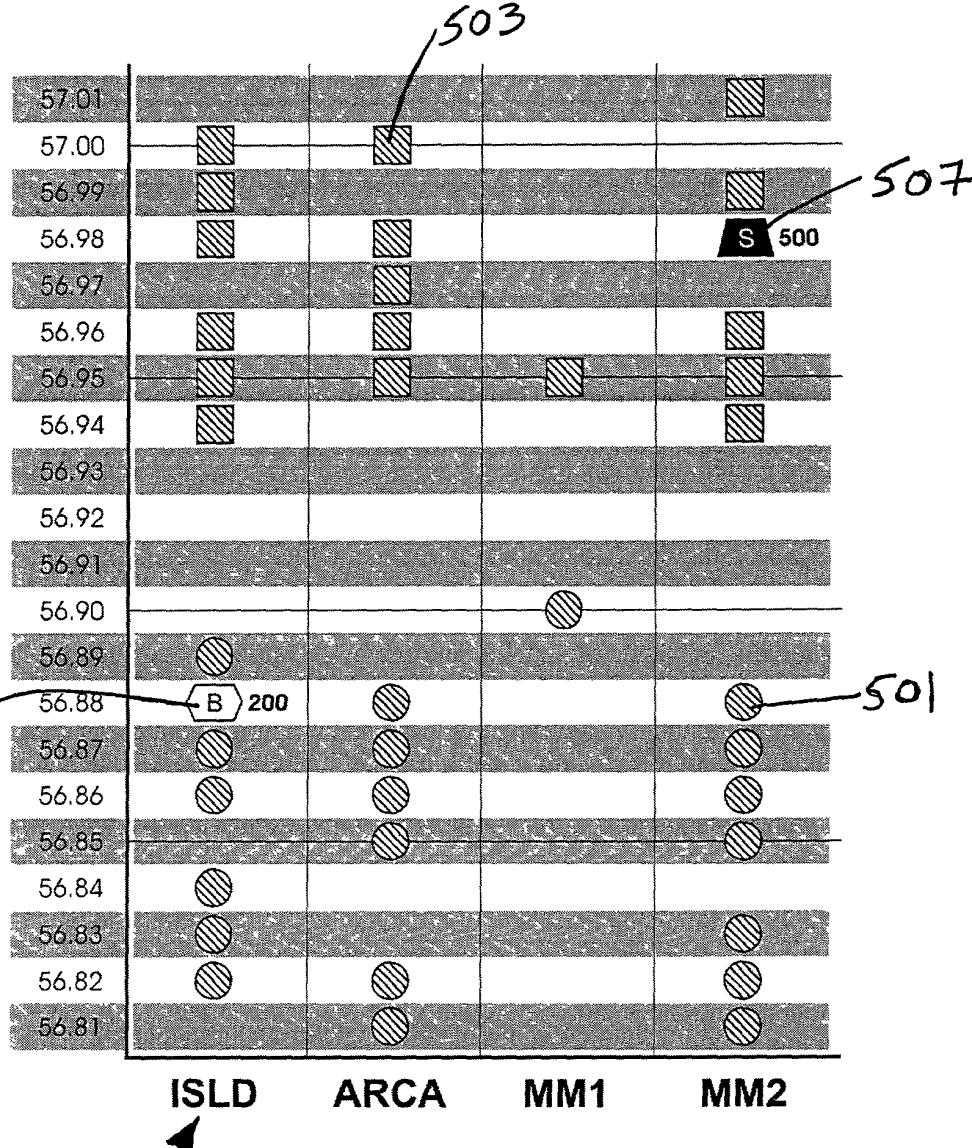


Fig 55